

# Spectral-based Image Reproduction Workflow

From Capture to Print

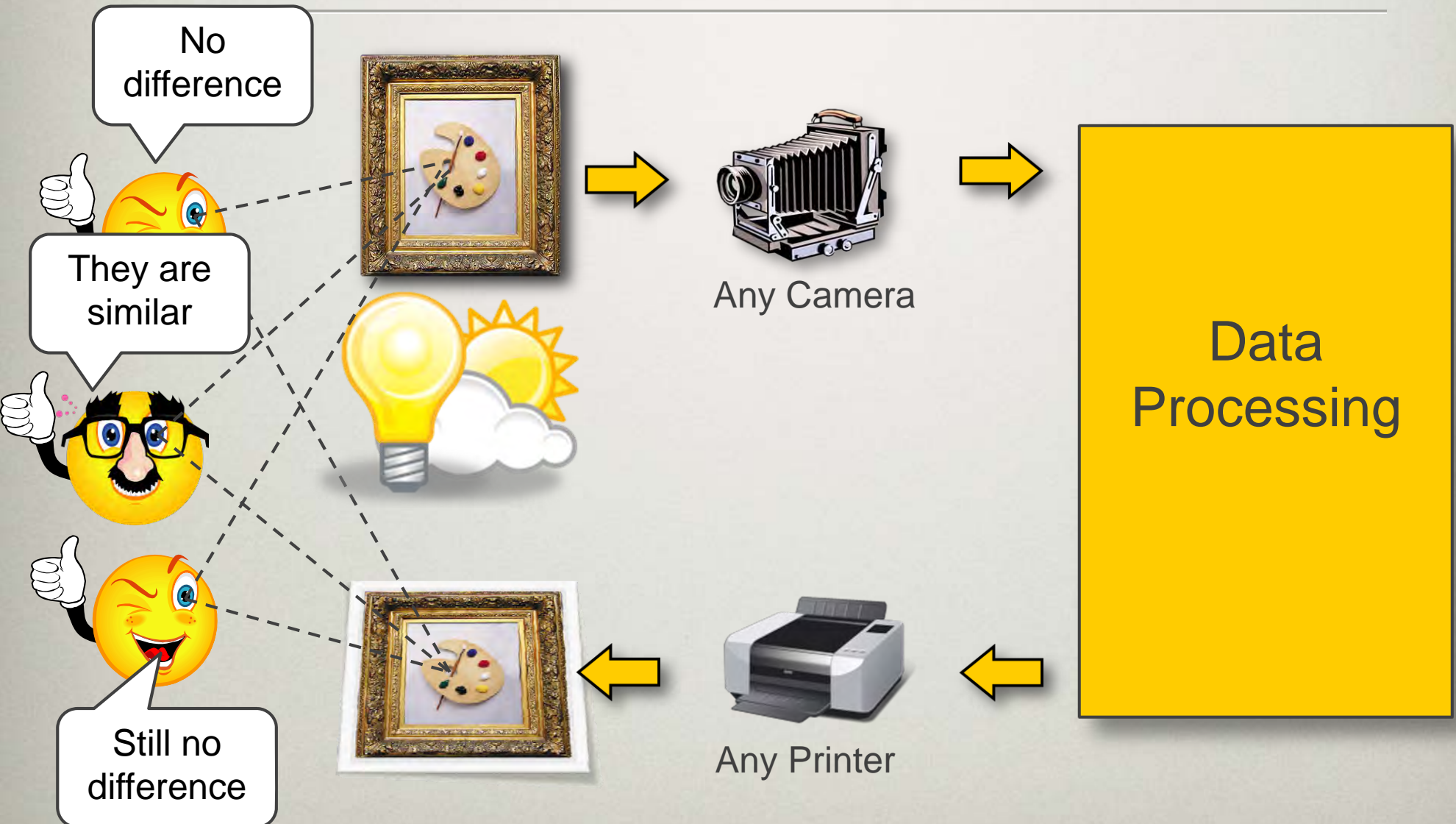
Philipp Urban



# Why Color Management?

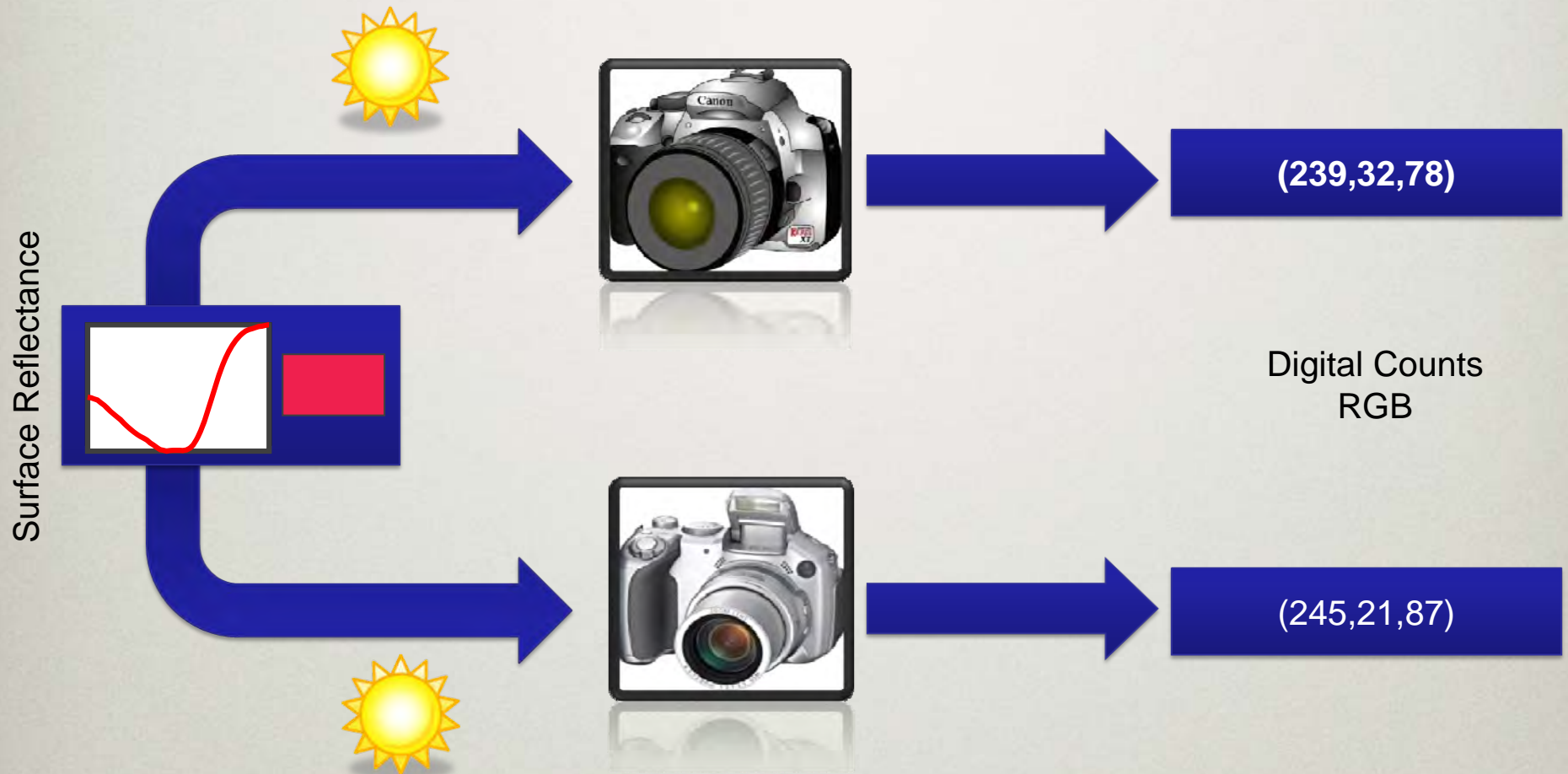


# The Ultimate Goal



# Each Device is Different

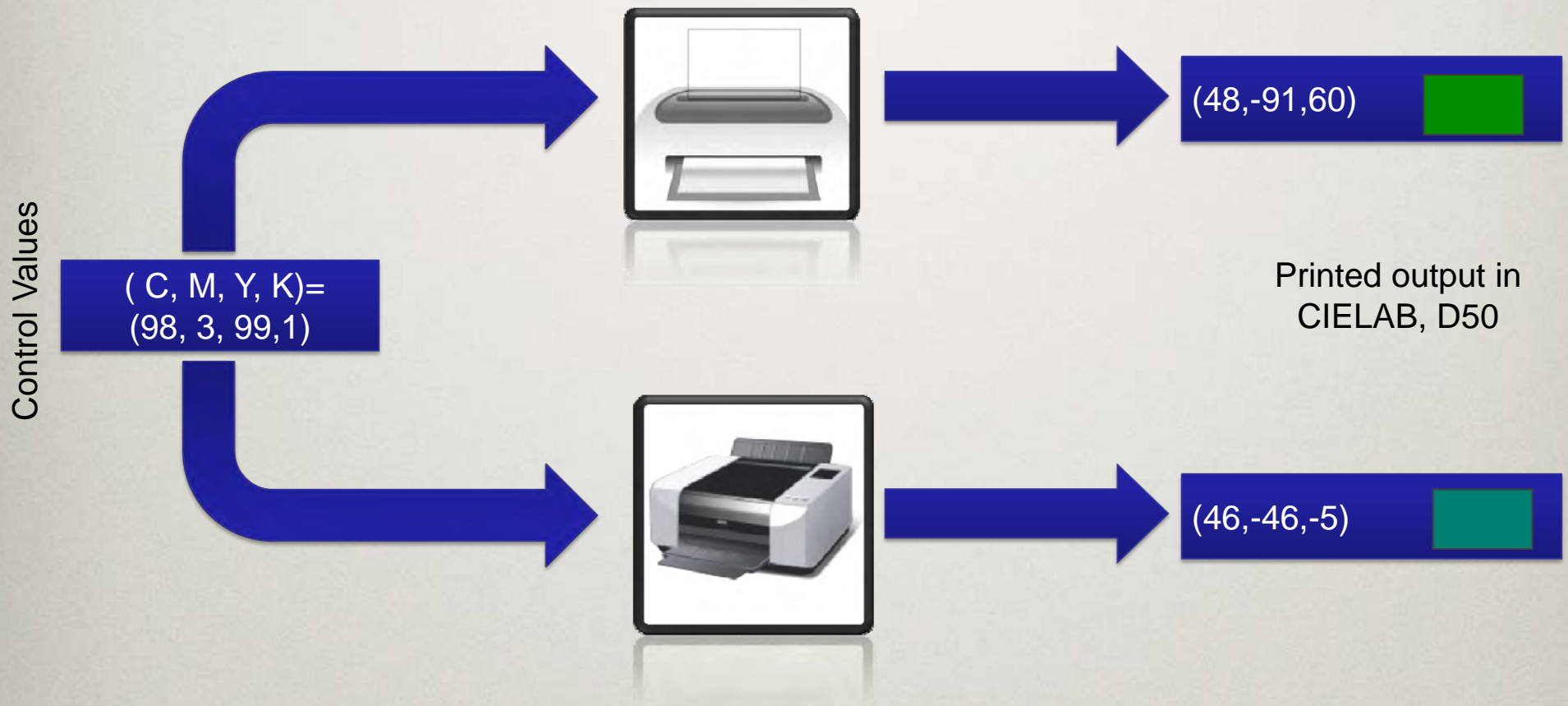
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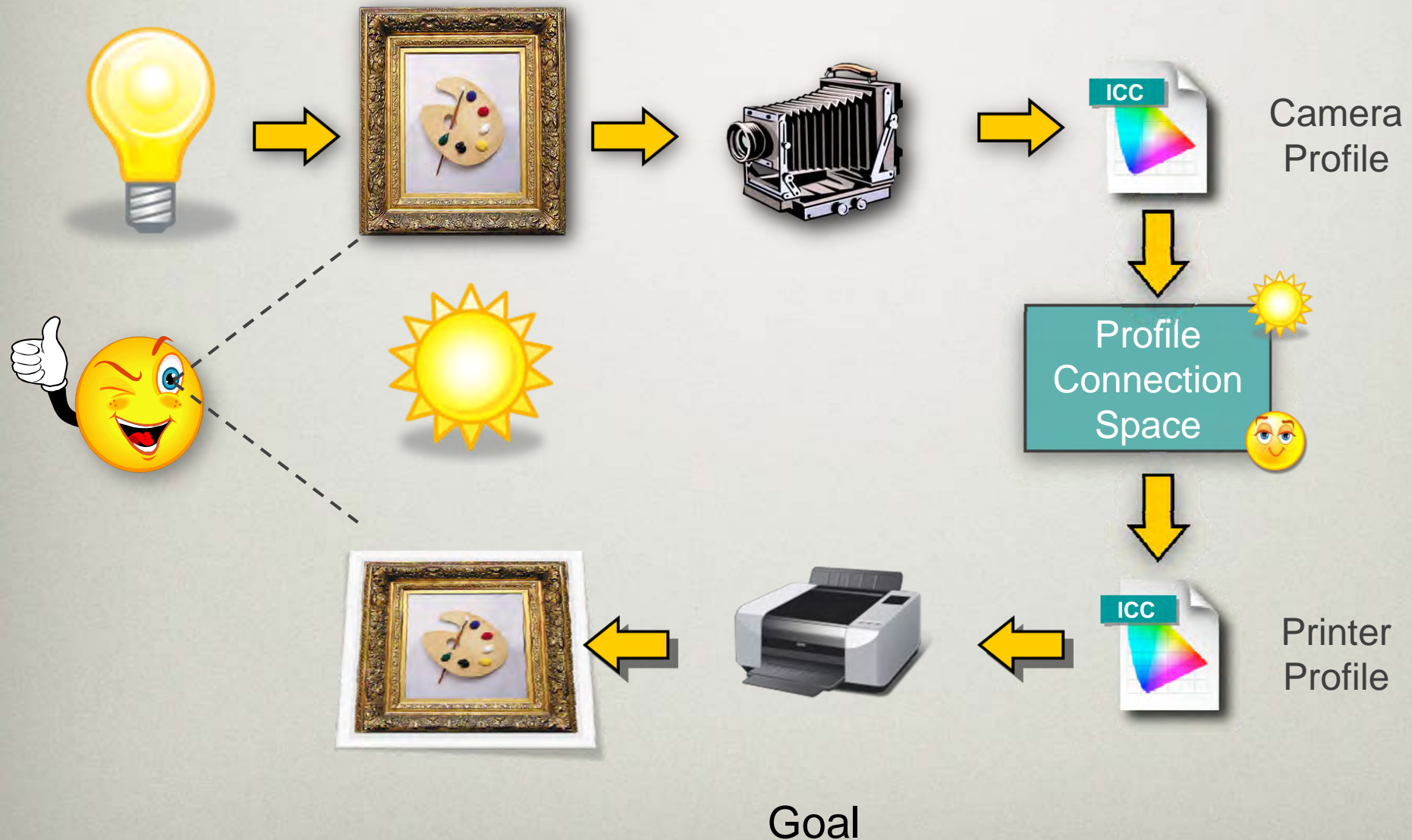


# Each Device is Different

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# Typical Metameric Workflow (ICC)





# Success Story of Metameric Reproduction

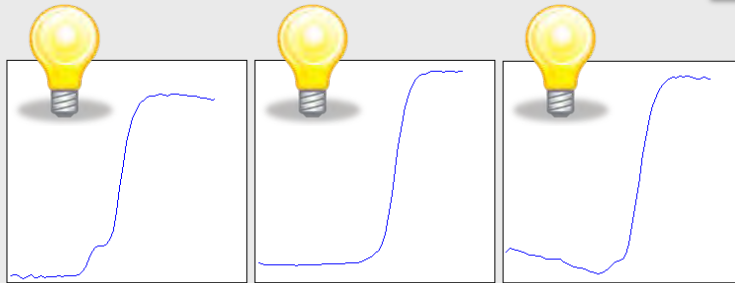


# Limitations of the Metameric ICC-Based Reproduction

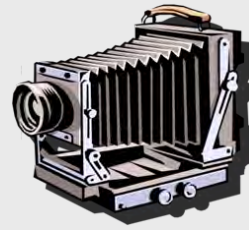


# Limitations of a Typical Metameric Workflow (ICC)

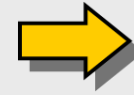
Information Reduction  $\Rightarrow$  Camera Metamerism



Multiple Reflectance



Bayer



$$\begin{bmatrix} r \\ g \\ b \end{bmatrix}$$

Observer + Illuminant Mismatch with PCS  $\Rightarrow$  Information Loss



Camera  
Sensitivities

$\neq$



PCS Observer's  
CMFs  
(CIE 1931)

$\neq$



Real Observer's  
CMFs



Acquisition  
Illuminant

$\neq$



PCS  
Illuminant  
(CIE D50)

$\neq$



Viewing  
Illuminant



$$\begin{bmatrix} r \\ g \\ b \end{bmatrix}$$



$$\begin{bmatrix} X \\ Y \\ Z \end{bmatrix}$$



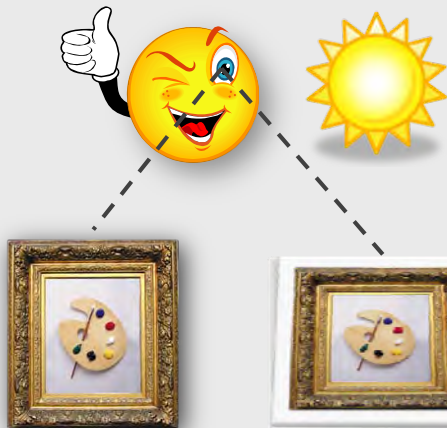
$$\begin{bmatrix} X_r \\ Y_r \\ Z_r \end{bmatrix}$$

Transformation between color spaces  
is neither well-defined nor unique

# Limitations of a Typical Metameric Workflow (ICC)

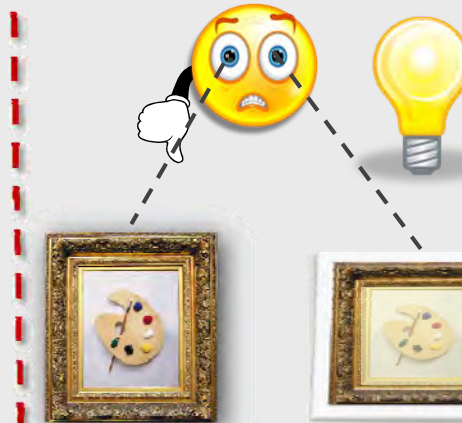
## Illuminant Metamerism

Ideally:  
**Match** for single  
Observer  
and  
Illuminant



Original

Reproduction



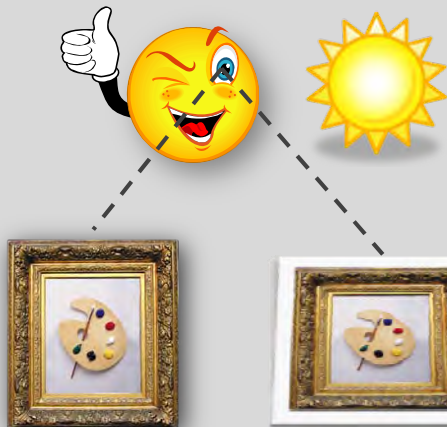
Original

Reproduction

In General:  
**Mismatch** for **same**  
Observer  
and  
**different** Illuminant

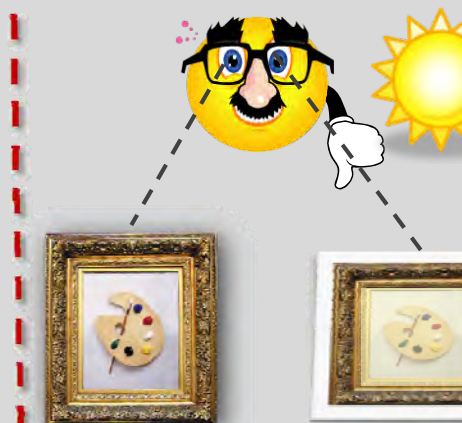
## Observer Metamerism

Ideally:  
**Match** for single  
Observer  
and  
Illuminant



Original

Reproduction



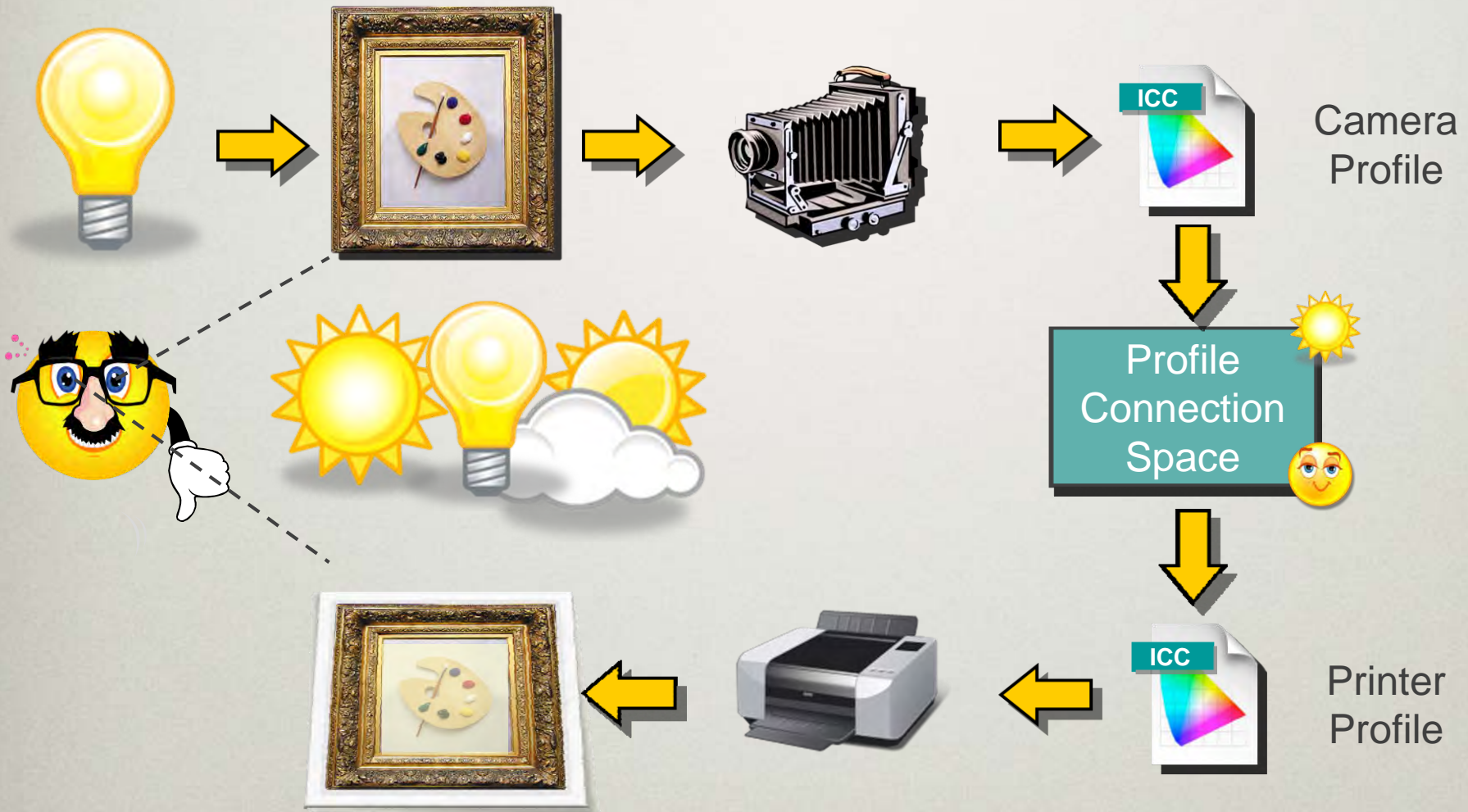
Original

Reproduction

In General:  
**Mismatch** for  
**different** Observer  
and  
**same** Illuminant



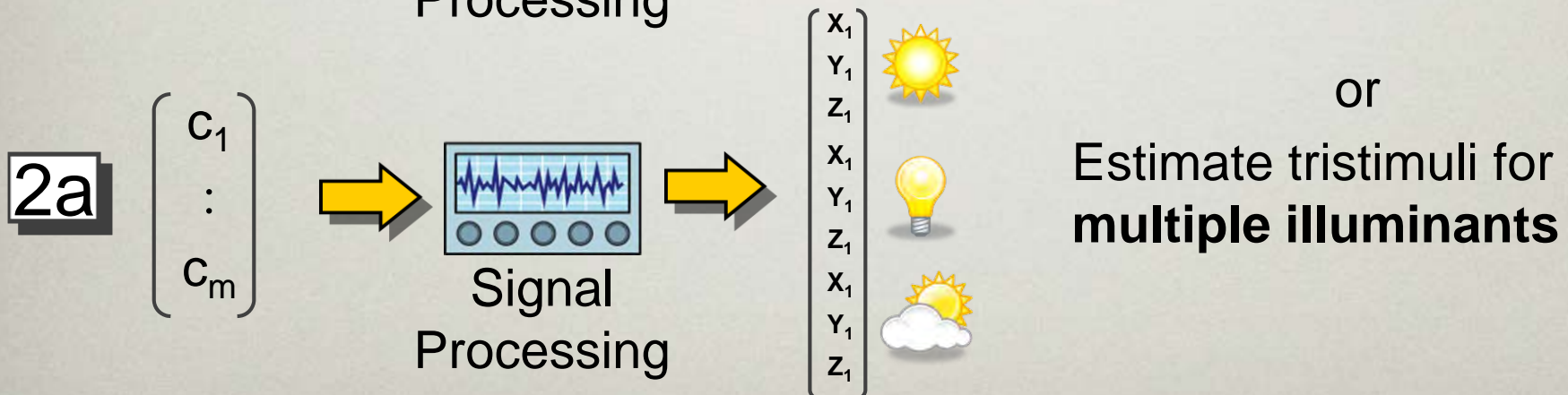
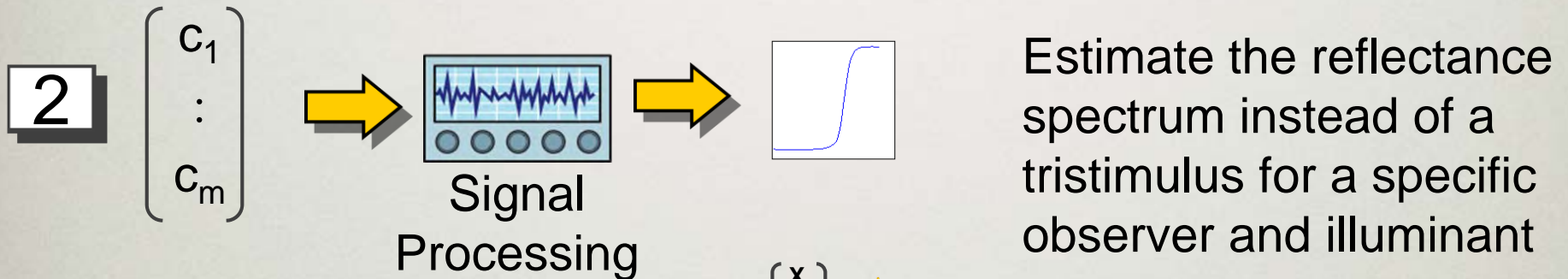
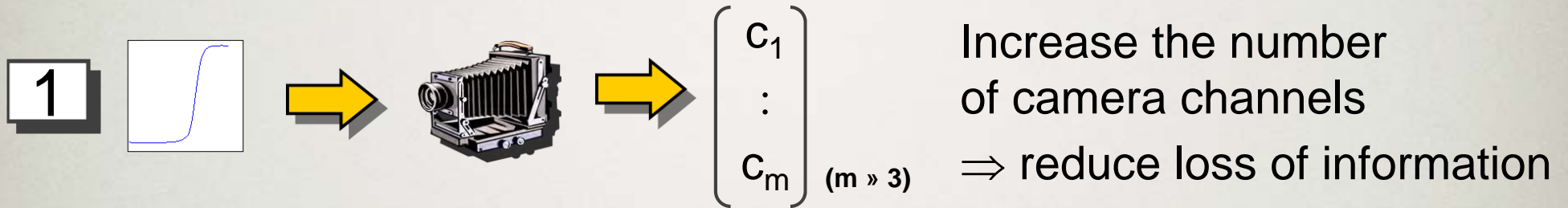
# Typical Metameric Workflow (ICC)



What Needs to be Changed?



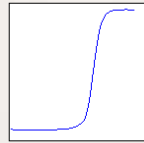
# What Needs to be Changed?



# What Needs to be Changed?

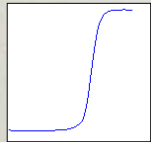
3

C  
M  
Y  
K  
R  
G  
B  
V



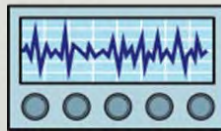
Increase the number of colorants (inks)  
⇒ maximize spectral printer gamut

4



or

$x_1$   
 $y_1$   
 $z_1$   
 $x_1$   
 $y_1$   
 $z_1$   
 $x_1$   
 $y_1$   
 $z_1$



Signal  
Processing



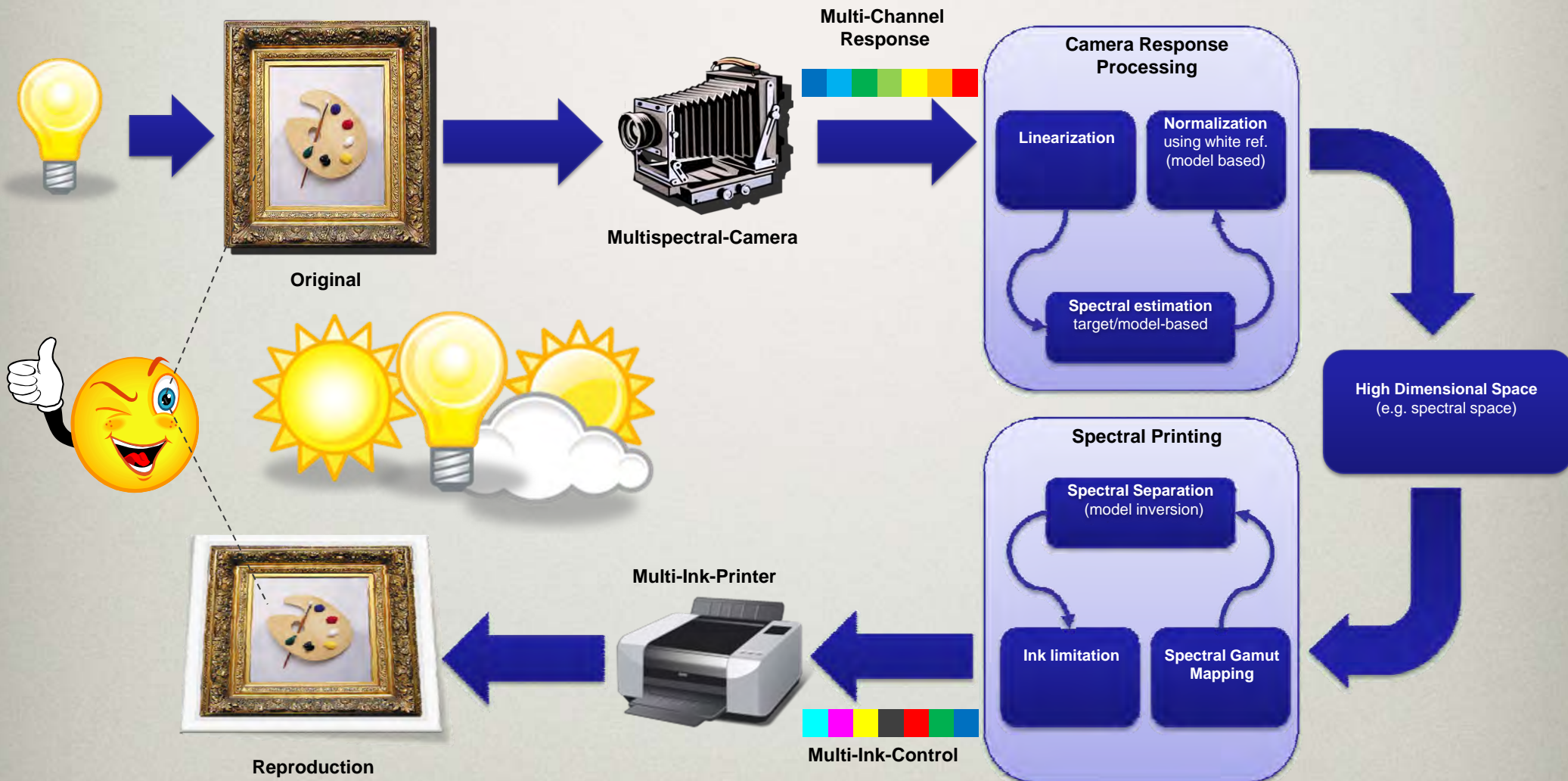
C  
M  
Y  
K  
R  
G  
B  
V

Separate colors based on spectral or multi-illuminant information  
⇒ minimize metamerism

# The Spectral End-to-End Reproduction Workflow



# Spectral End-to-End Reproduction Workflow



Where is a Spectral Workflow useful?

Where are the Applications?



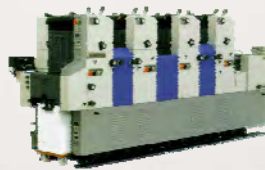
# Highly Accurate Proofing of Offset-Press-Prints

Metameric Workflow

Proof




Press



Viewing conditions  
ISO Standard 



Viewing conditions  
Client 



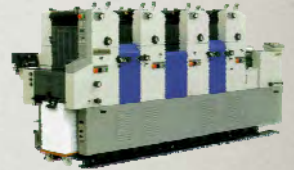
Standard satisfied  
Client not satisfied

Spectral Workflow

Proof



Press



Client satisfied



# Cultural Heritage

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Van Gogh's ***The Starry Night*** (what are the real colors?)

- Reproducing artwork

Bring the real color appearance of a van Gogh painting into the living room

- Support and document restoration work

# Highly Accurate Industrial Color Communication

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Today



Color Communication (swatches, samples...)



# Highly Accurate Industrial Color Communication

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Tomorrow?

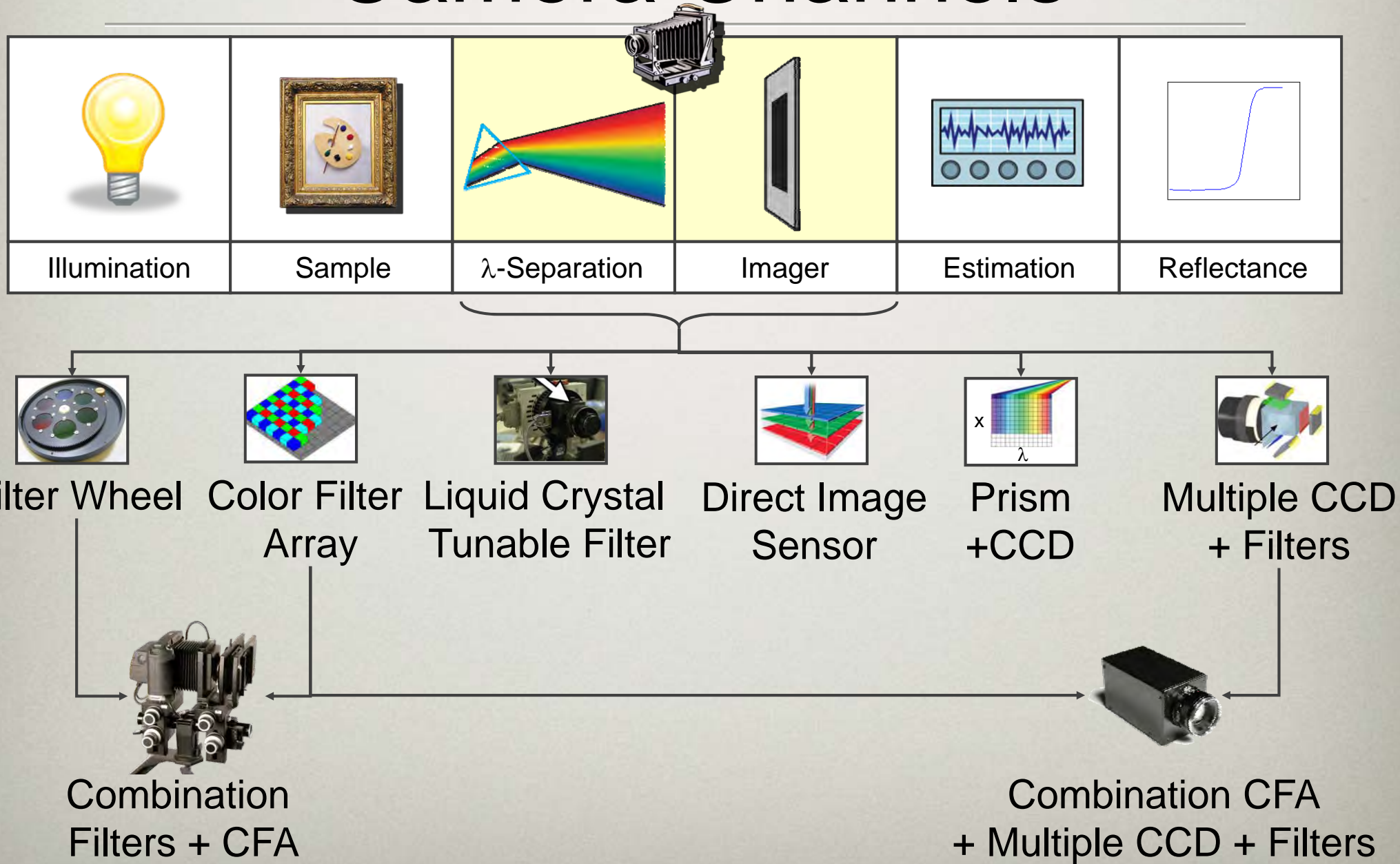


Color Communication (swatches, samples...)



# Multispectral Cameras

# Ways to Increase the Number of Camera Channels

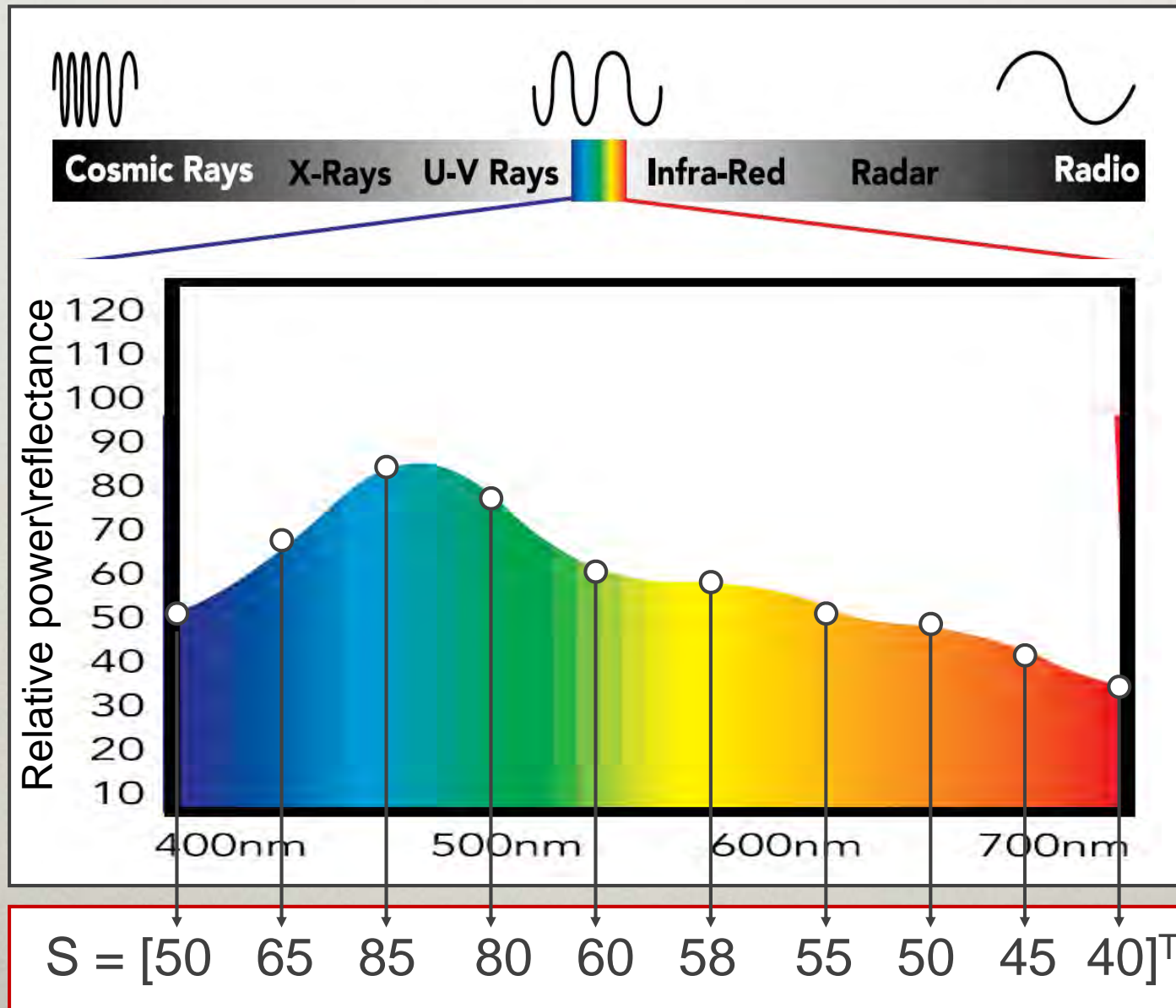




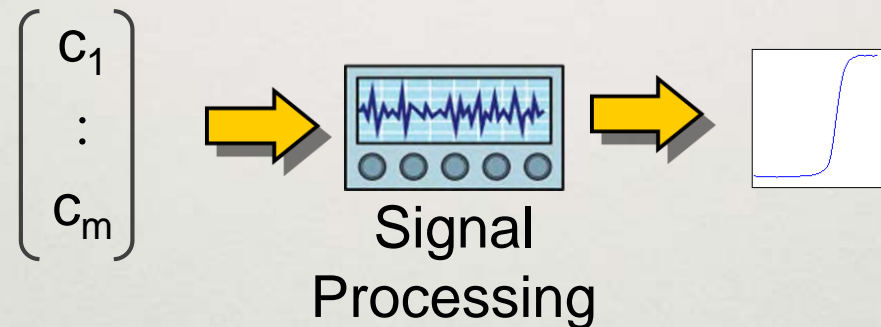
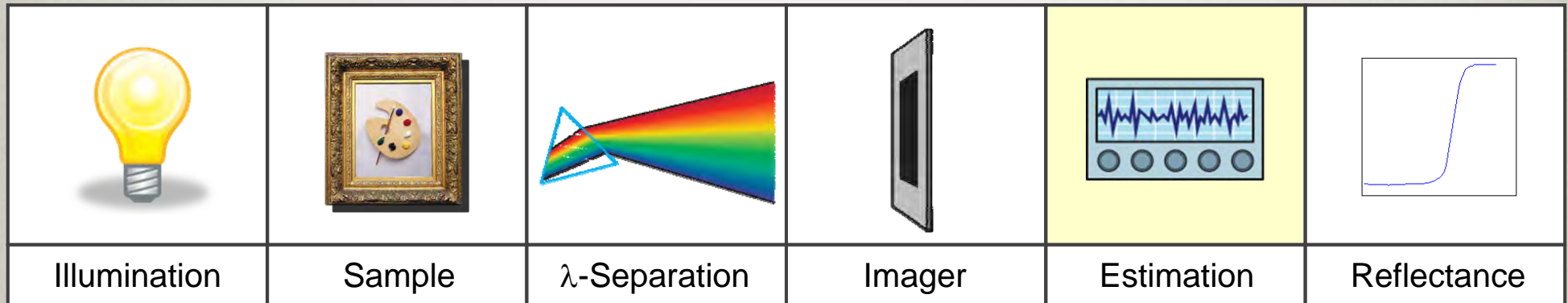
# Camera Response Processing



# Vector Representation of Spectra



# Reflectance Estimation



Problem is under-determined (ill-posed)

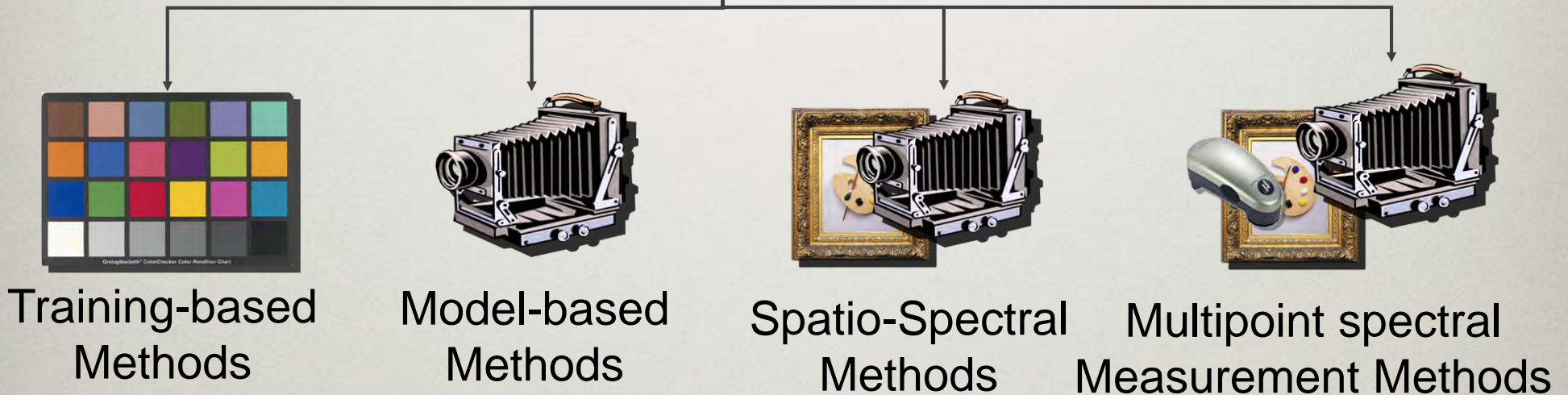
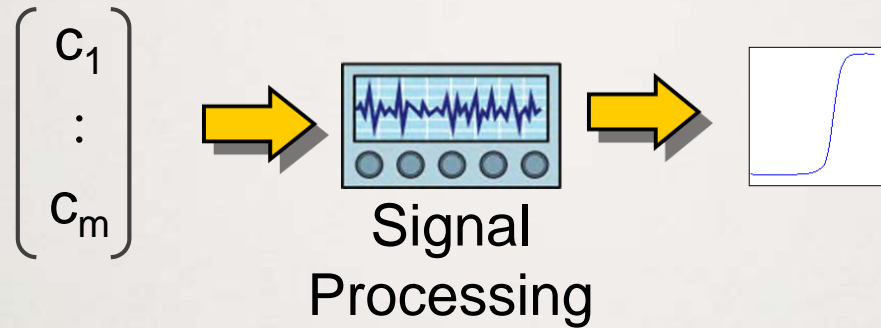


General Approach:

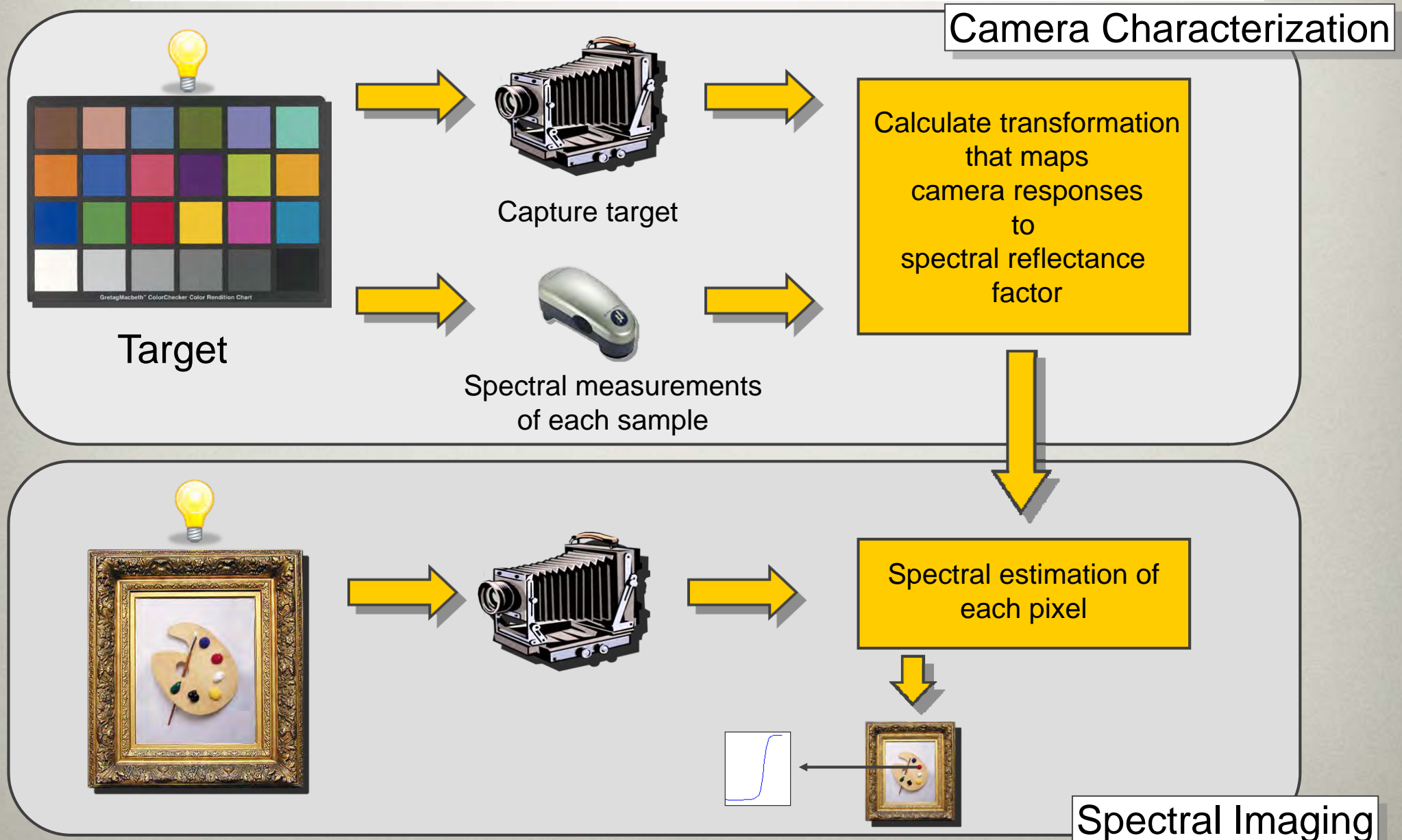
Utilize as much information as possible



# Reflectance Estimation



# Reflectance Estimation [Training-based Methods]

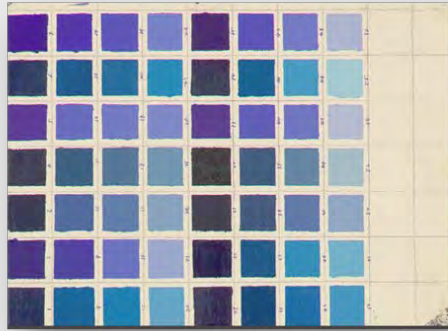




# Training-based Methods General Problem

Necessary Condition:

Spectral agreement between training colors and original



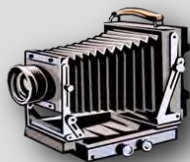
Training Target



Original

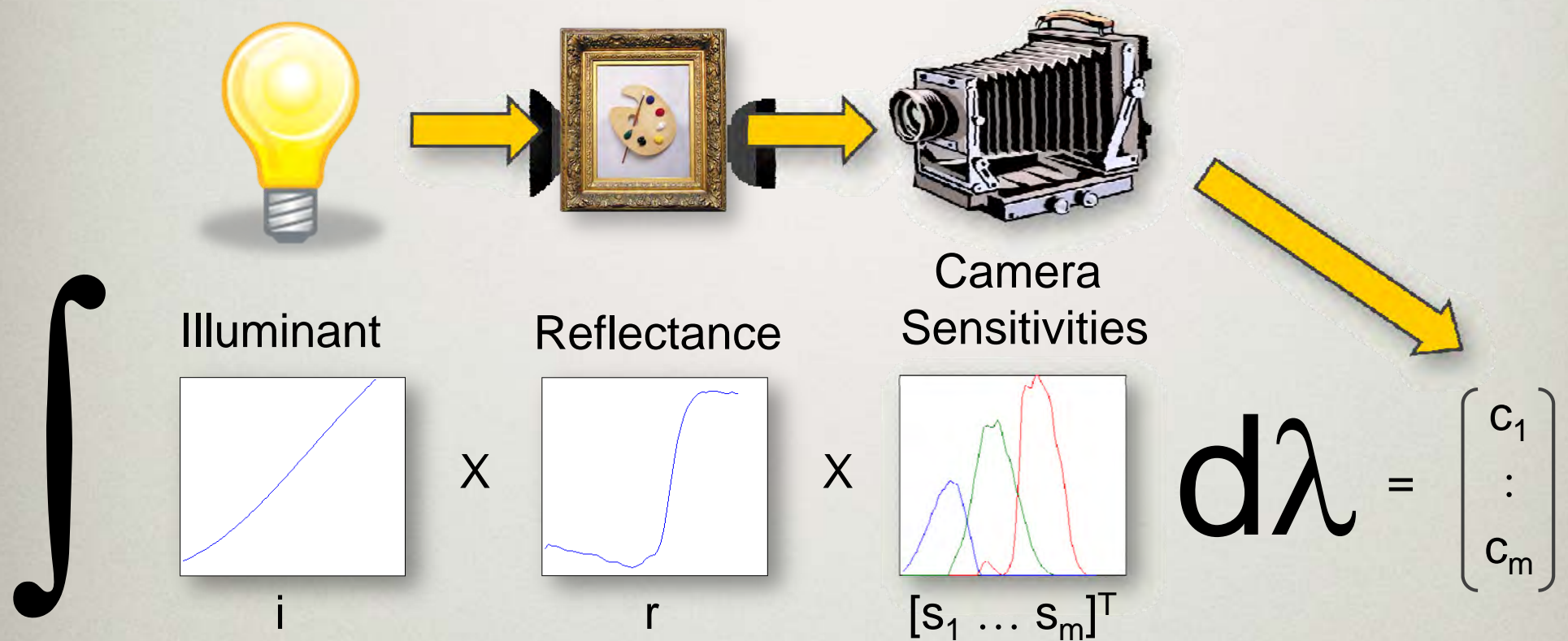
It is unlikely that the spectrum of the red-pigments can be accurately estimated

The spectral estimation quality depends strongly on the selected target.



Multipoint spectral measurement methods  
“Target is the Original”

# Sensor Response of a Linear Imaging Device

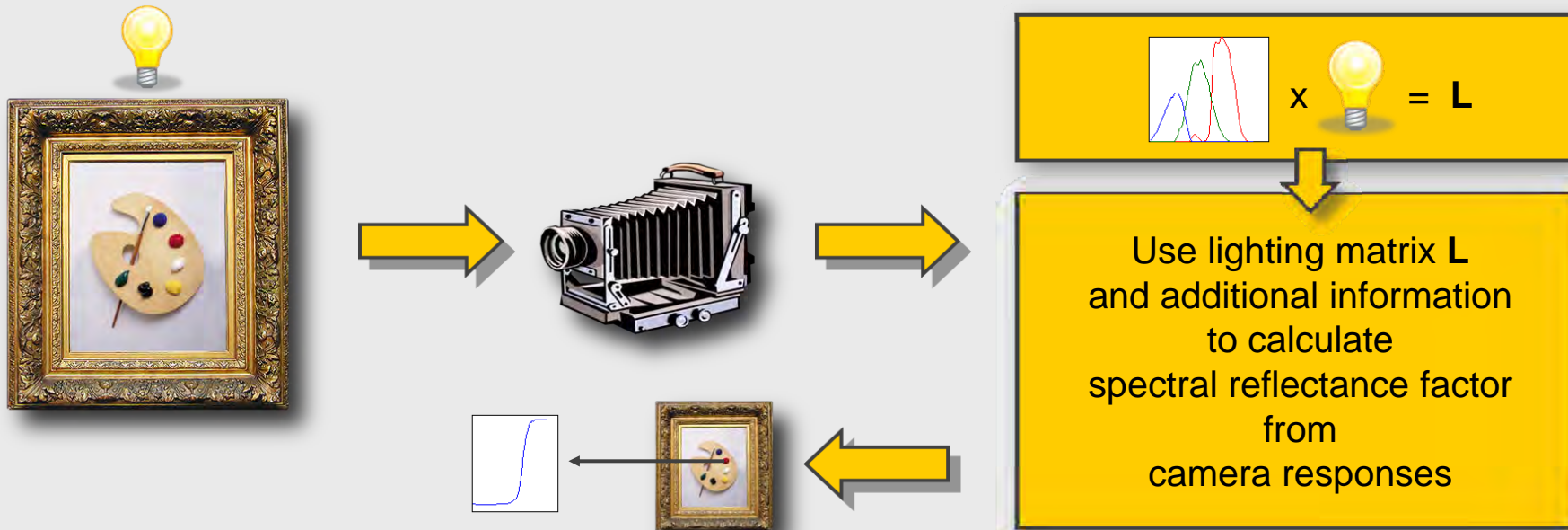


Vector representation:

$$\underbrace{\begin{bmatrix} s_{11}i_1 & \dots & s_{1n}i_n \\ \vdots & & \vdots \\ s_{m1}i_1 & \dots & s_{mn}i_n \end{bmatrix}}_{\mathbf{L} \text{ (Lighting Matrix)}} \begin{bmatrix} r_1 \\ \vdots \\ r_n \end{bmatrix} = \mathbf{L} \cdot \mathbf{r} = \begin{bmatrix} c_1 \\ \vdots \\ c_m \end{bmatrix}$$

# Reflectance Estimation [Model-based Methods]

Calculate colorimetric transform



The camera model:

$$c = L \cdot r$$

camera response (known)      lighting matrix (known)      reflectance (unknown)

Solve underdetermined equation with respect to  $r$ :

$$f(L, c) = r$$

The Mathematics



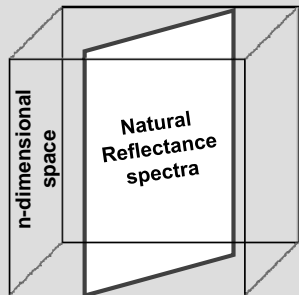
# Reflectance Estimation [Model-based Methods]

## Pseudoinverse

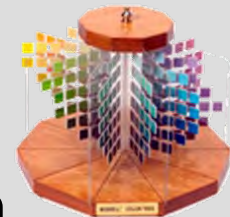


- Simple mathematical solution
- Does not minimize the spectral RMS error
- Sensitive to noise

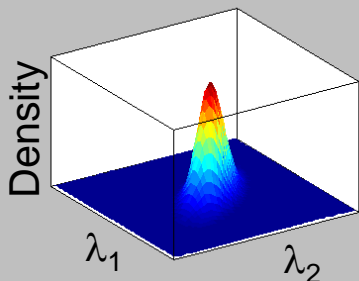
## Principle Component Method



- Additional assumption:  
Natural reflectances can be described by a low-dimensional linear model
- Model parameter (principle components) can be calculated using a spectral database



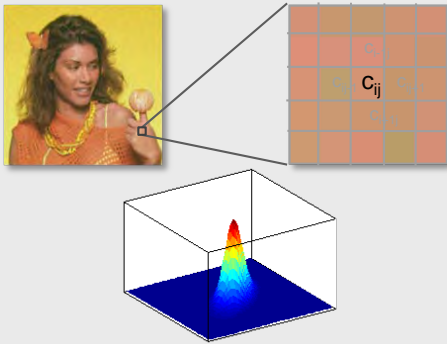
## Wiener Inverse



- Additional assumption:  
Natural reflectances and noise are normally distributed
- Determine covariance matrices from spectral database
- Optimal linear filter for reflectance estimation

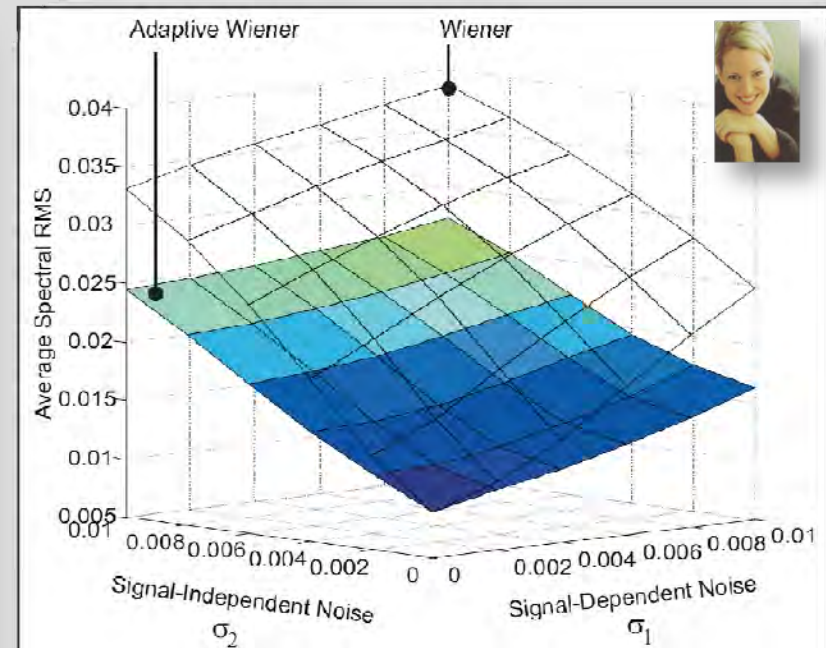
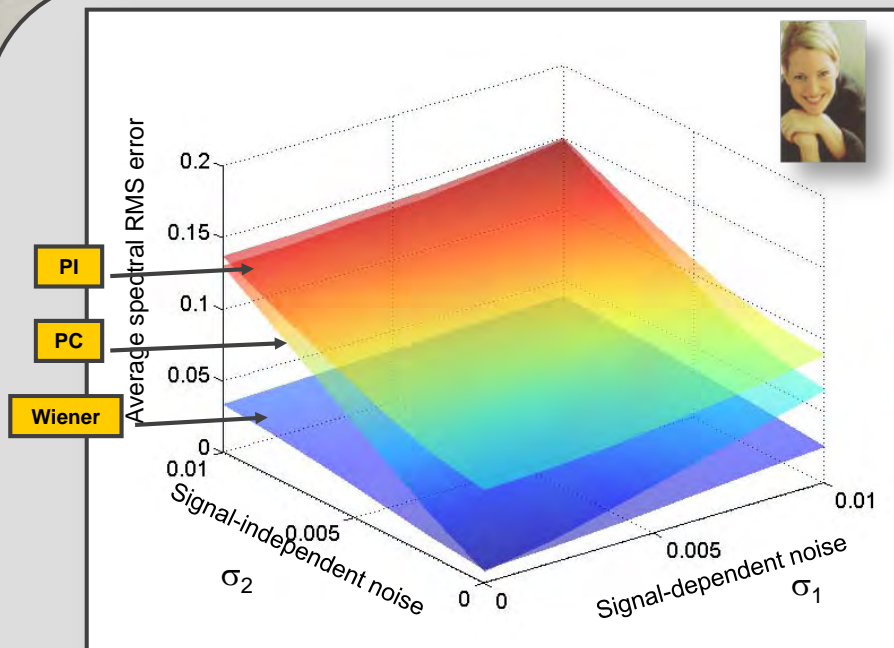
# Reflectance Estimation [Model-based Methods]

## Spatially Adaptive Wiener Inverse



- Combining noise reducing and reflectance estimating Wiener filter

Urban et al. 2008



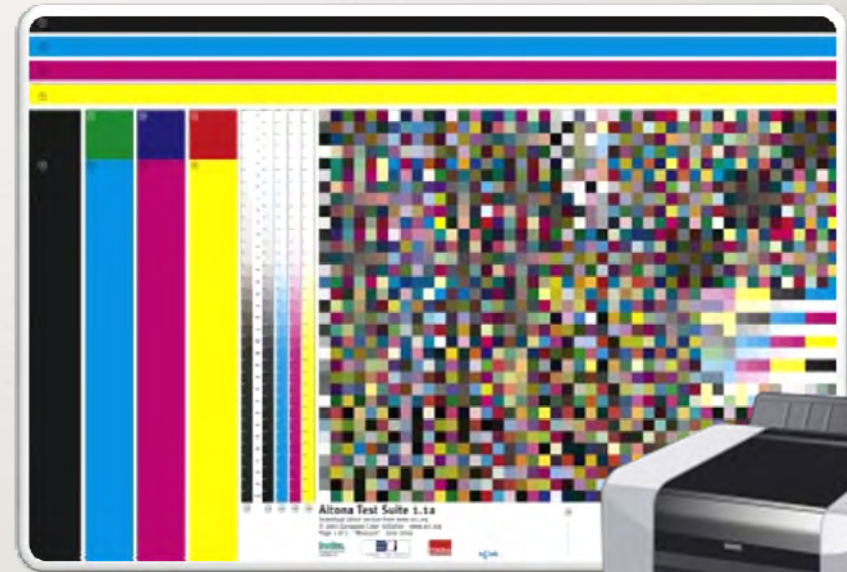
Results: Six channel Sinar camera

# Spectral Printing



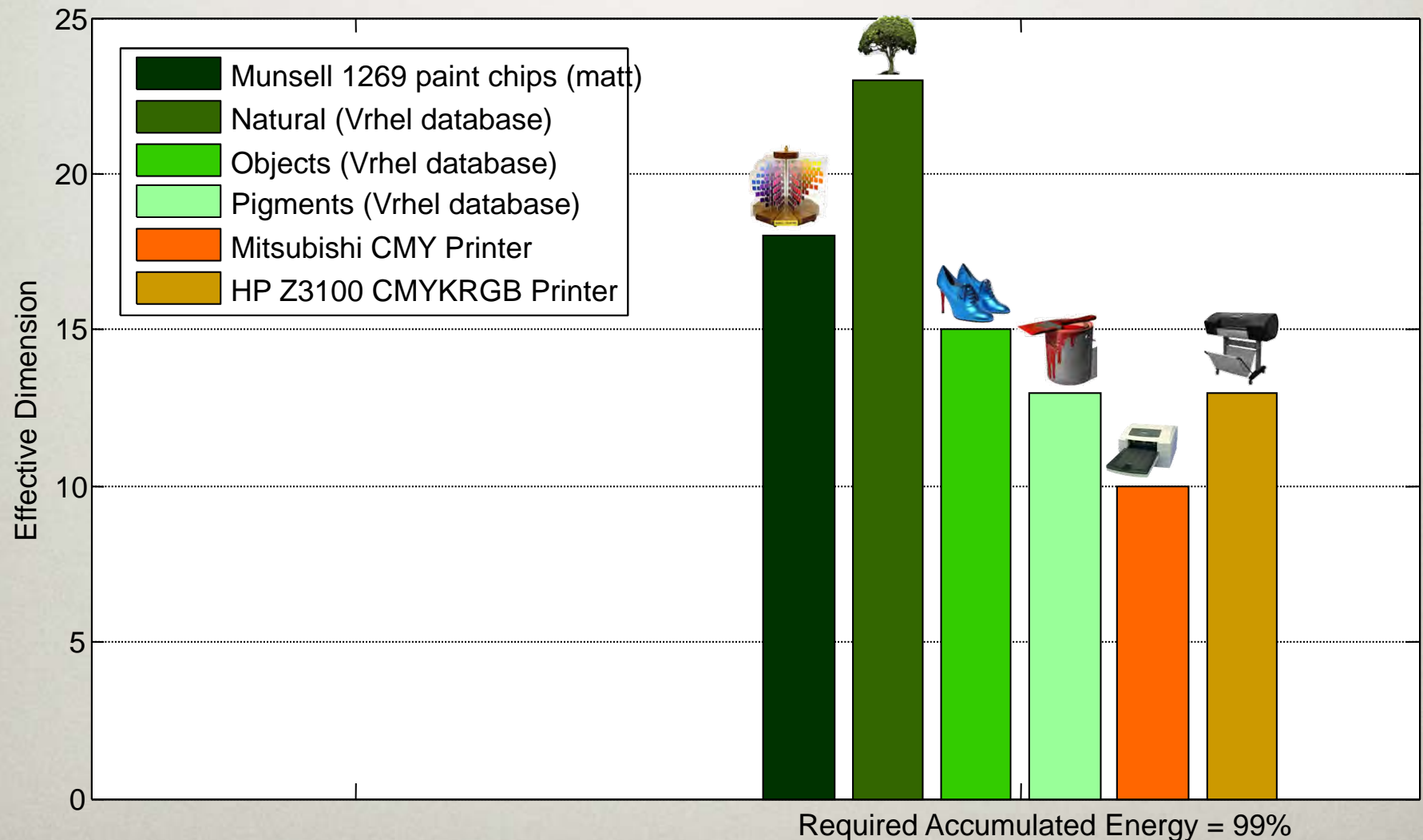
# Are all Natural Spectra Printable?

A look at the effective spectral dimension



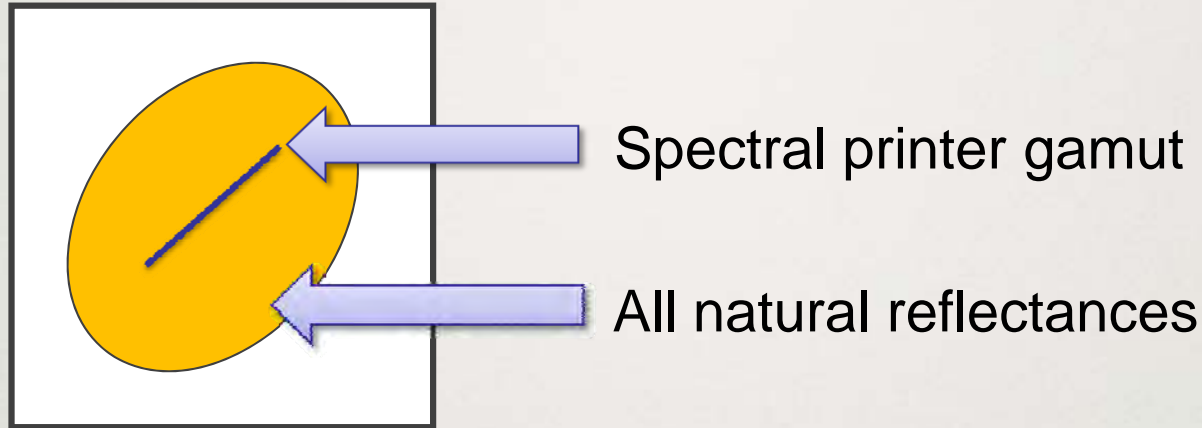
Effective dimension  $\sim$  minimal number of characteristic spectra that sufficiently represent the spectral dataset

# Are all Natural Spectra Printable?



# Are all Natural Spectra Printable?

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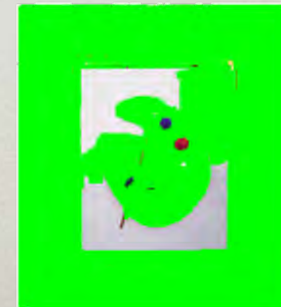


Dimension difference  $\Rightarrow$  Nearly every given spectrum is out-of-gamut  
 $\Rightarrow$  Spectral Gamut Mapping necessary

Colorimetric Gamut Warning



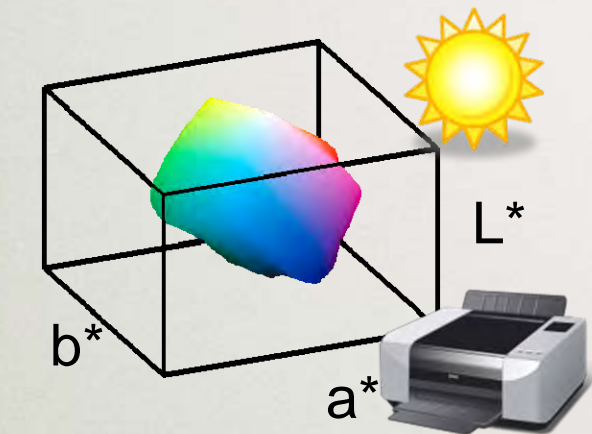
Spectral Gamut Warning





# Spectral Gamut Mapping

- How to calculate the spectral gamut?



Colorimetric Gamut

?



Spectral Gamut

- How to gamut map spectral images? What are the objectives?
  - Minimizing a spectral distance metric related to human color vision
- Or
  - For one illuminant as visual correct as a colorimetric reproduction
  - For other illuminants superior

# Printer Model

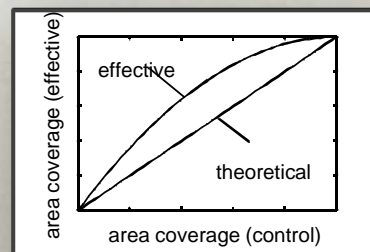
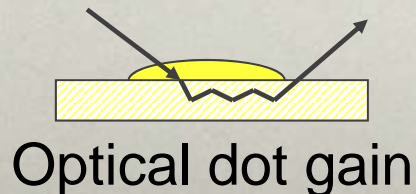
- What spectral printer model should be used?



- Printer model needs to be inverted



- Problems:

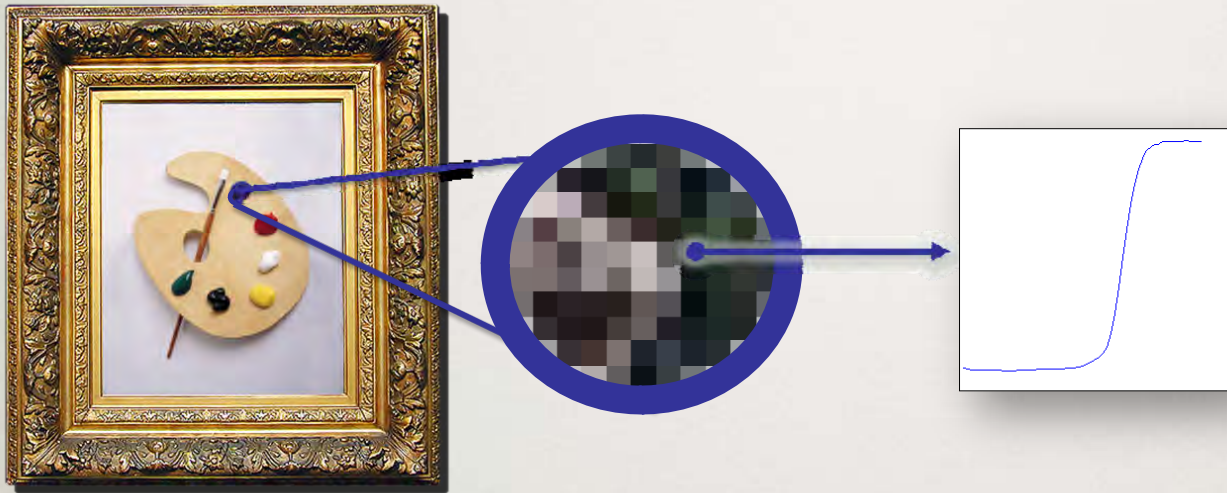


Non-linear



# Printer Model Inversion

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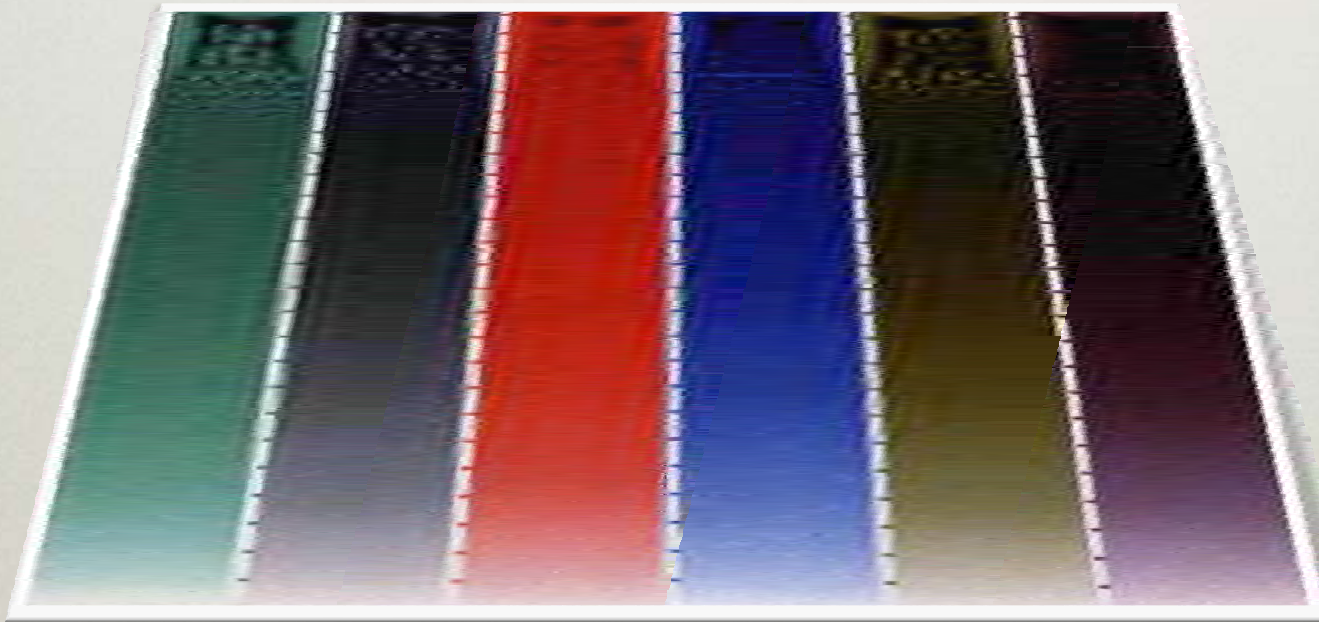
- Model inversion on a Pixel by Pixel Basis  
⇒ very fast algorithm and implementation

# Ink Limitation

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Limited mechanical colorant-absorption of media

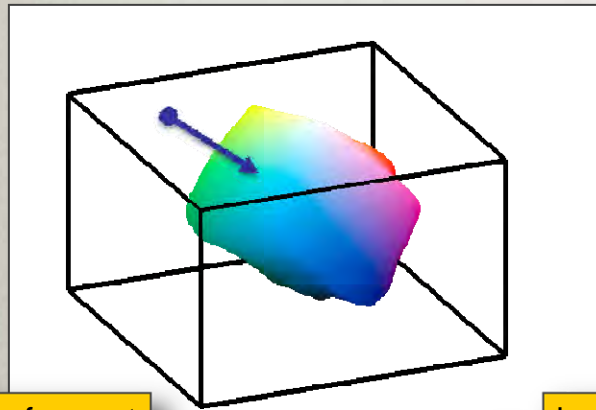
⇒ ink limitation necessary



Secondary and tertiary colors (Chen 2006)

# Spectral Printing Workflow

Spectral Gamut Mapping



out-of-gamut  
spectra

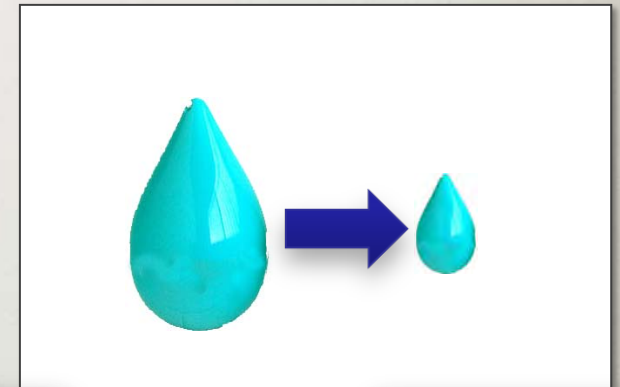
in-gamut  
spectra

Printer Model Inversion



theoretical  
control values

Ink Limitation



printable  
control values



# Spectral Printer Model

# Printer Model

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- What spectral printer model should be used?



- Many different models have been developed:

Pure empirical, physical and hybrid models.  
[see Wyble and Berns 2000 for a comparison]

- The Cellular Yule Nielsen Spectral Neugebauer (CYNSN) model is widely used (good compromise between simplicity and accuracy)

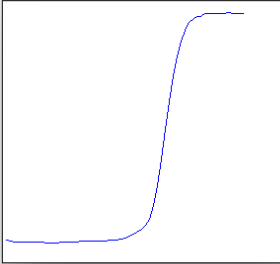


# Spectral Gamut Mapping



# Spectral Gamut Mapping

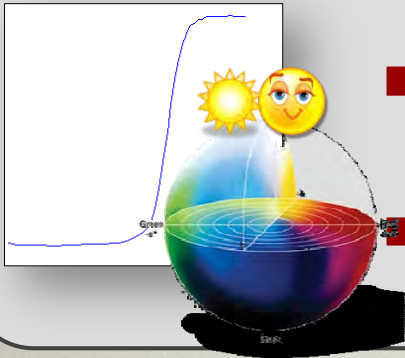
## Within Spectral Space



- Minimizing spectral RMS differences
- Minimizing spectral metrics related to human color vision (Color Matching Functions)

Imai et al. 2002, Viggiano 2004

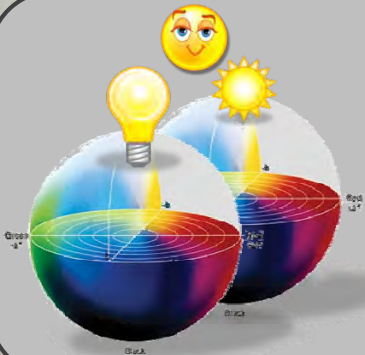
## Perceptual and Spectral Space, e.g. LABPQR



- Perceptual space (e.g. CIELAB) – first 3 dim.: Perform traditional gamut mapping
- Remaining dimension – metameric black space: minimize RMS distance

Rosen and Derhak 2006

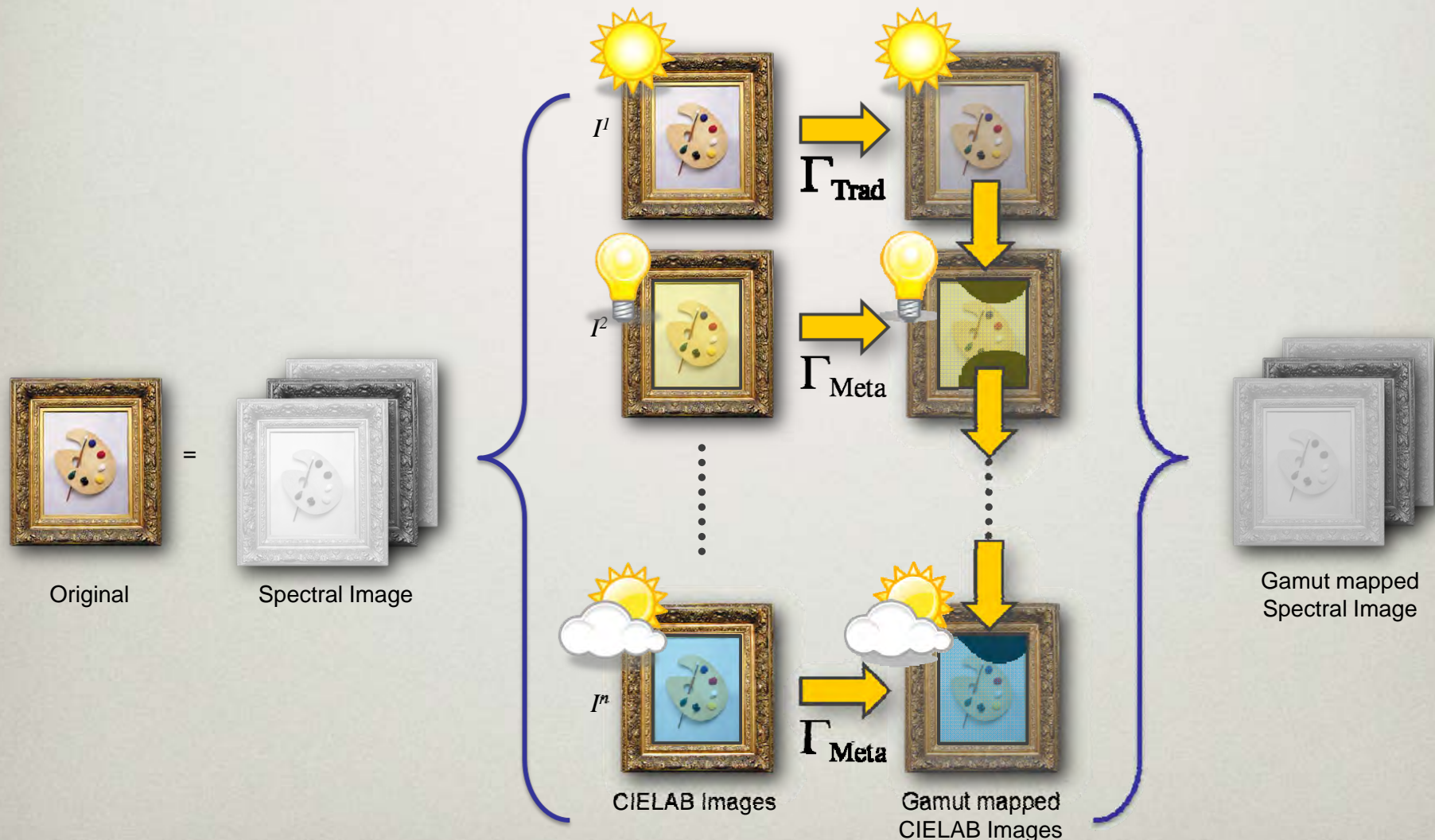
## Multi-illuminant Perceptual Spaces



- Perceptual space (e.g. CIELAB) for most important illuminant: traditional gamut mapping
- All other spaces: metamer mismatch-based mapping

Urban et al. 2008

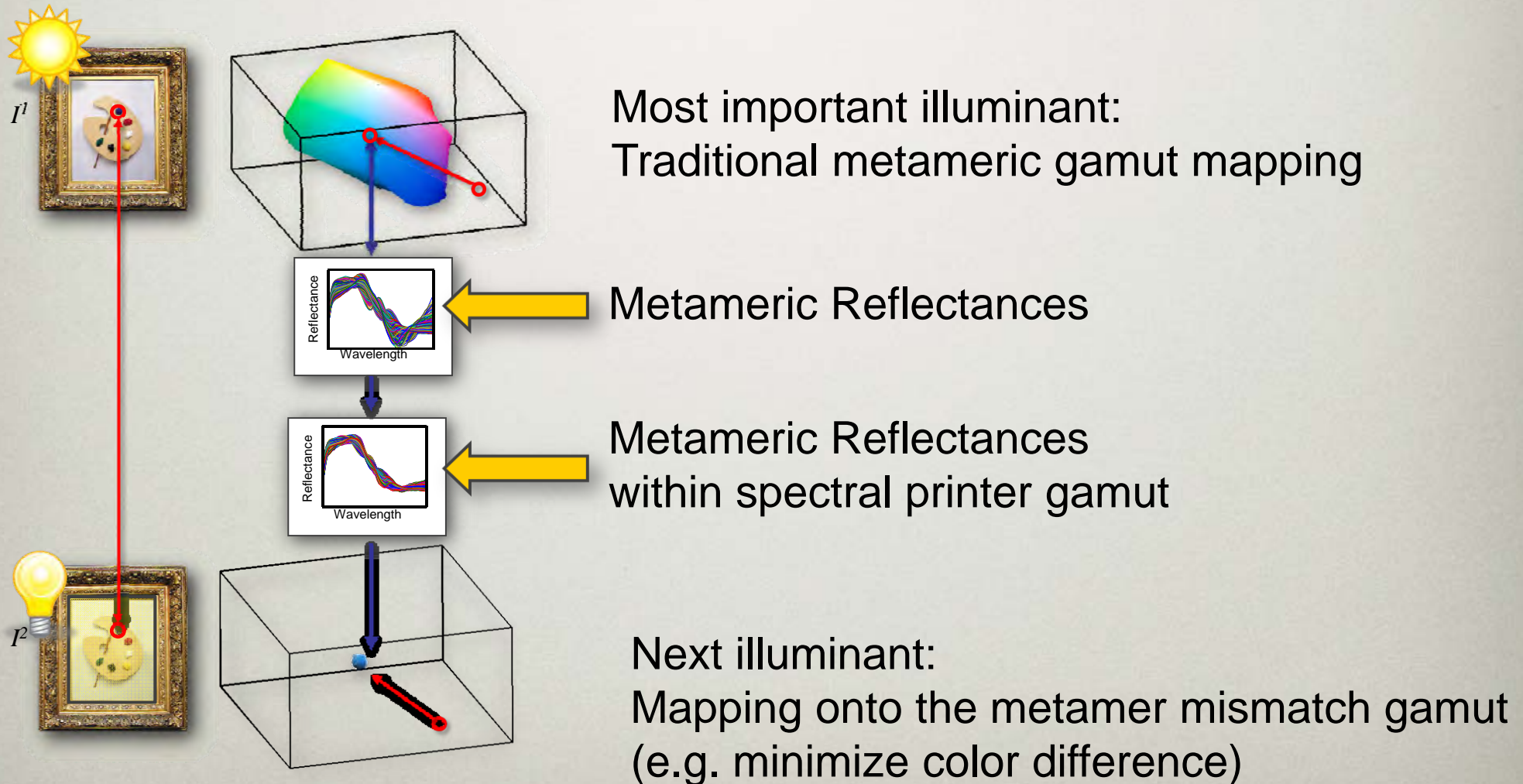
# Metamer Mismatch-based Spectral Gamut Mapping



Reproduction has to match the original under a set of illuminants

# Metamer Mismatch-based Spectral Gamut Mapping

Spectral printer gamut mapping in color spaces related to human color vision

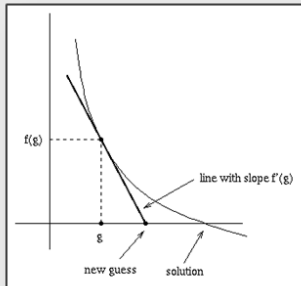




# Spectral Printer Model Inversion

# Spectral Printer Model Inversion

## Standard Mathematical Methods



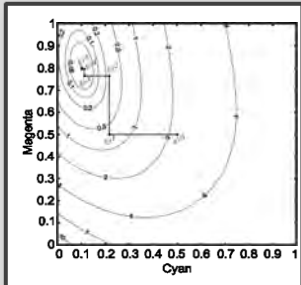
- Solve  $\|R(\psi) - r\|_2 = \min$

$$\psi \in [0, 1]^m$$

- Newton-based methods

Taplin 2001, Zuffi and Schettini 2002

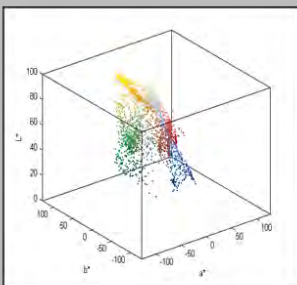
## Cellular Subspace Linear Regression Iteration




- Use special properties of the CYNSSN model
- Accelerate processing within a low-dimensional subspace

Urban et al. 2006, 2007

## Gamut Mapping + Model Inversion



- Limit the number of overprints to four 
- Utilize JND of observer + large color quantization of printers to perform a discrete optimization within submodels
- Select inks based on multi-ill. colorimetry

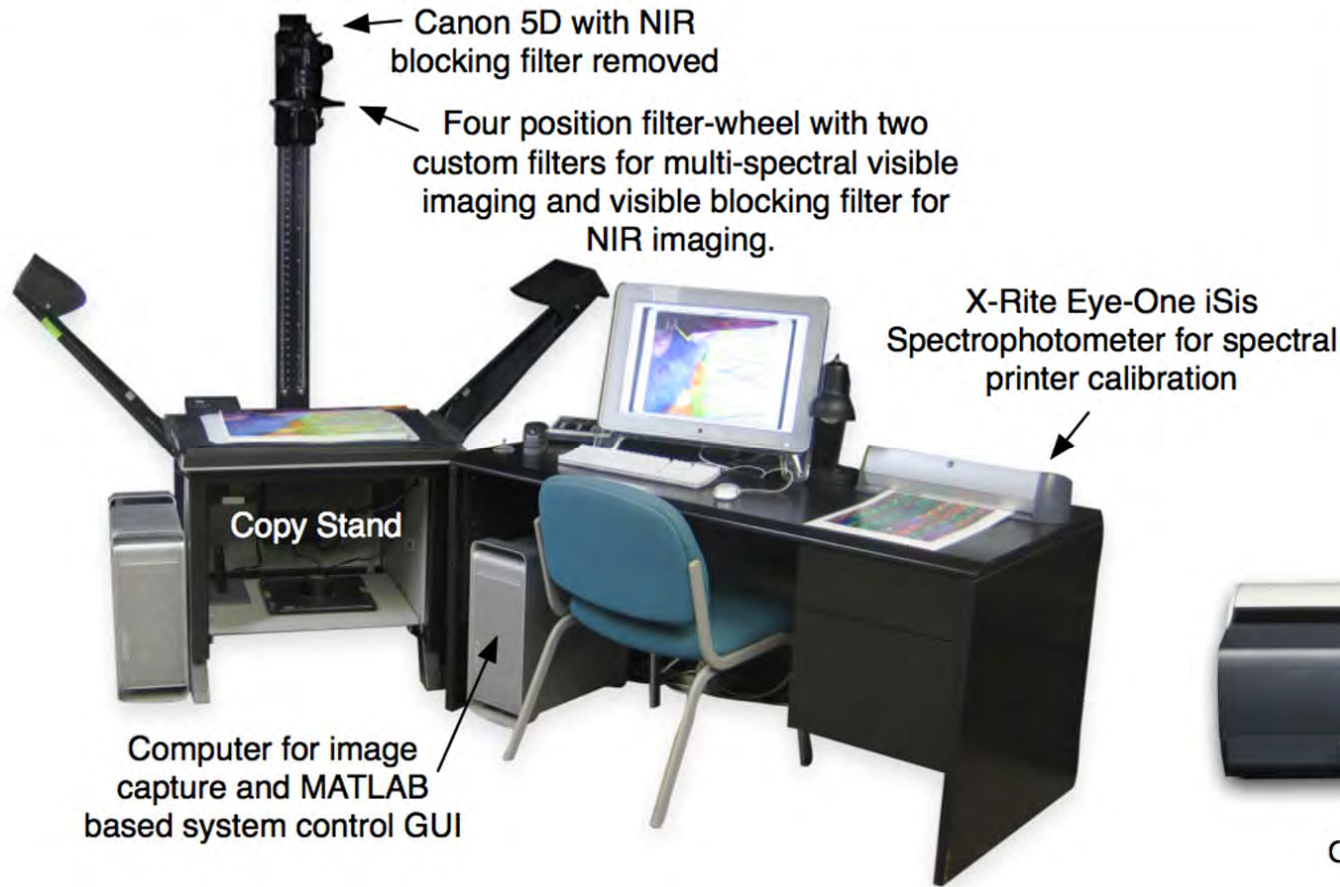
Urban et al. 2008



# An Example of a Spectral End-to-End Reproduction System



# System built at the Munsell Color Science Laboratory



Onyx Production House RIP and printer server to allow seven-channel control of printer

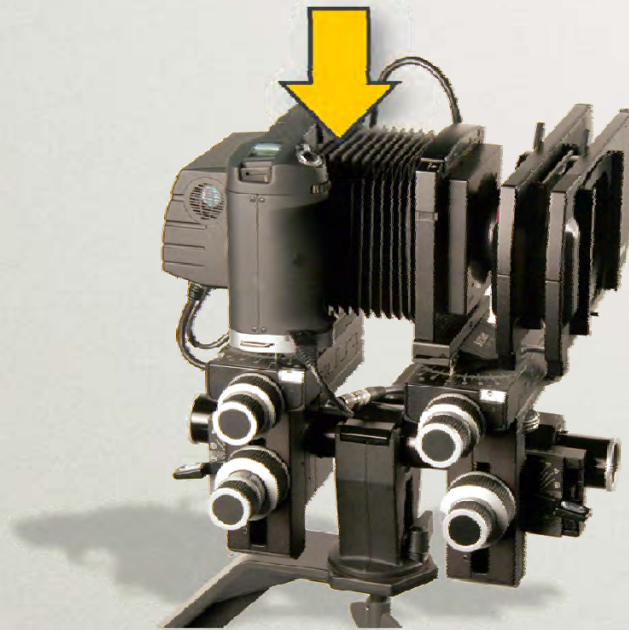


Canon imagePROGRAF iPF5000 for spectrally matched output

# Additional Cameras

Modified Sinarback 54H digital camera

NIR blocking filter removed



Two optimized Filters

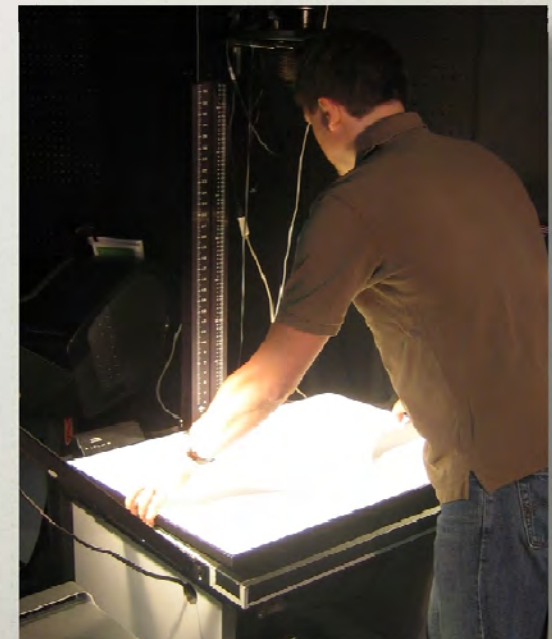
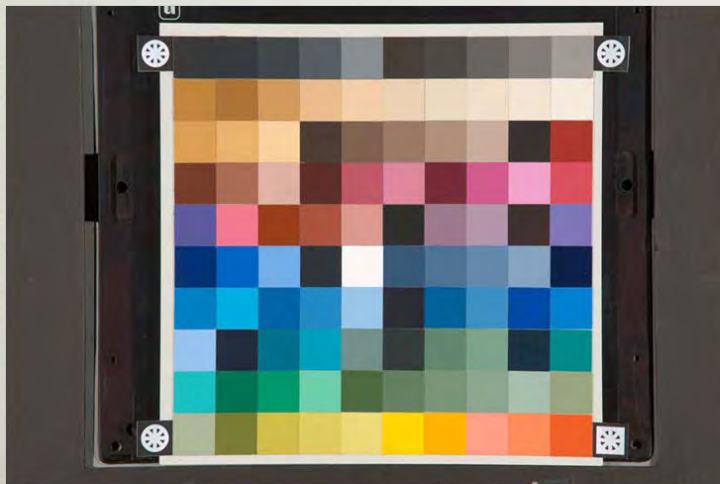
Sinarback 54H (RGB Camera)

6 Channel Camera

# System Characterization

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- Printer Characterization
  - 7k Patches for 7ink Printer (CMYKRGB)
  - Measured using Eye-One ISIS in ~30min
- Camera Characterization
  - White Board for Flat Fielding
  - Representative Training Target

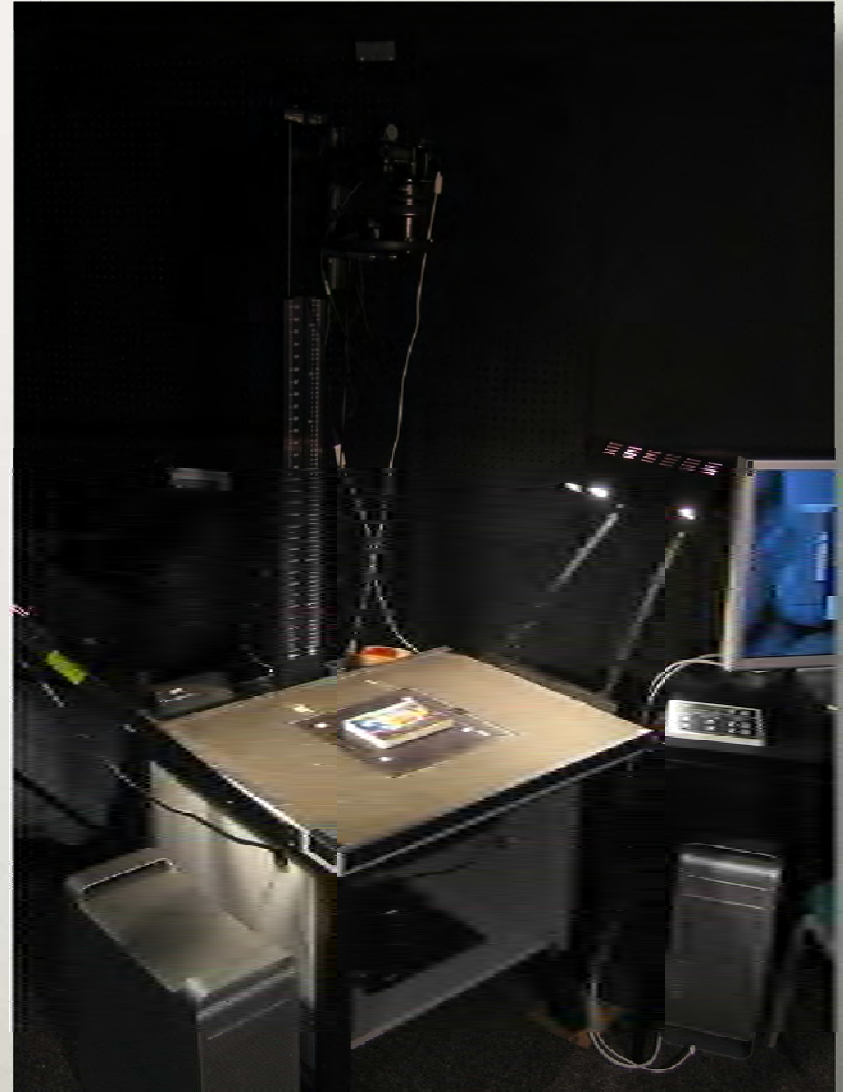




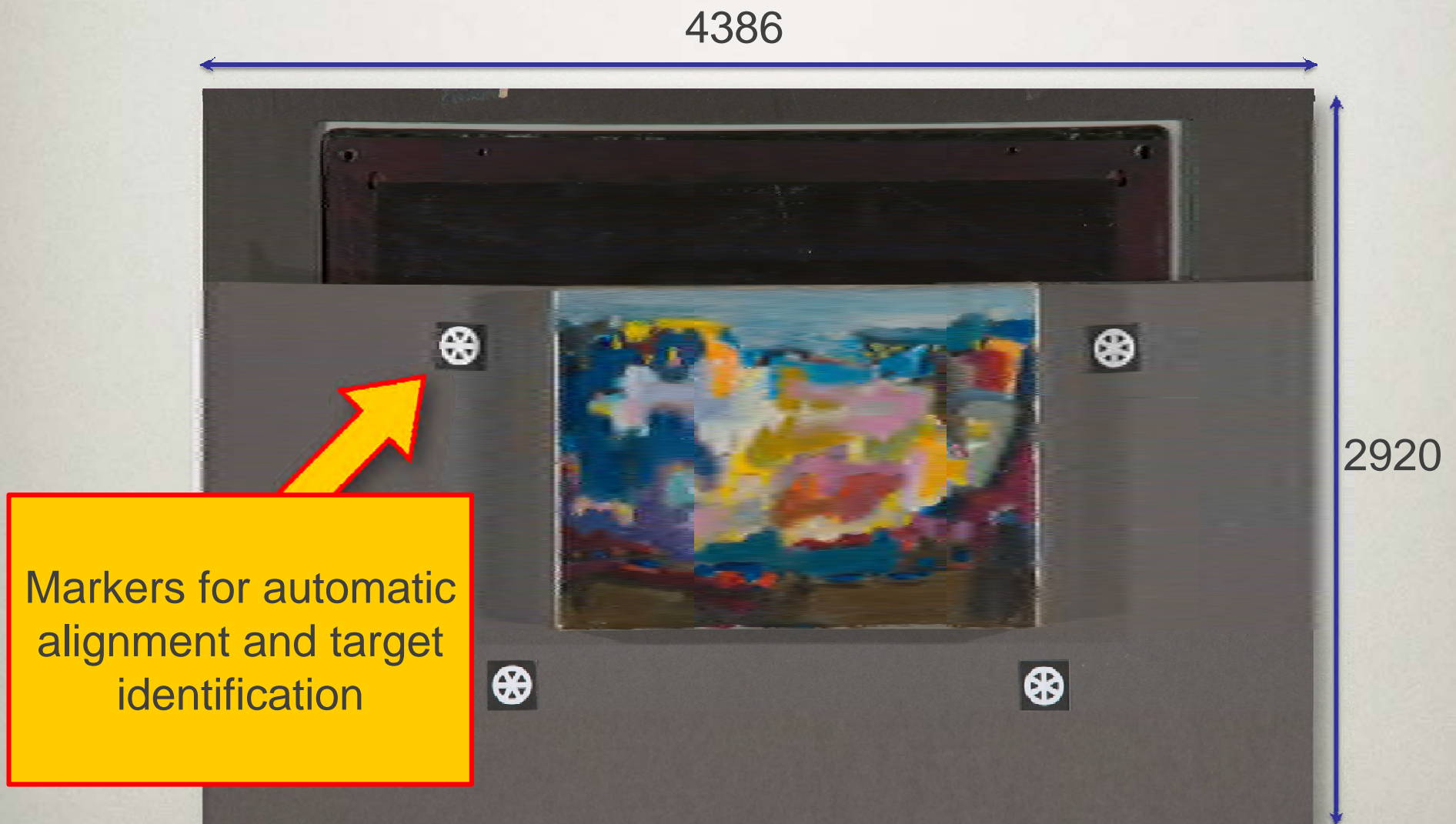
# Artwork Capture

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- Dedicated Motorized Copy Stand
- Quartz Halogen Lights (3200° Kelvin)
- 45-Degree Lighting Geometry
- Autofocus Camera
- 24"x30" Capture Area

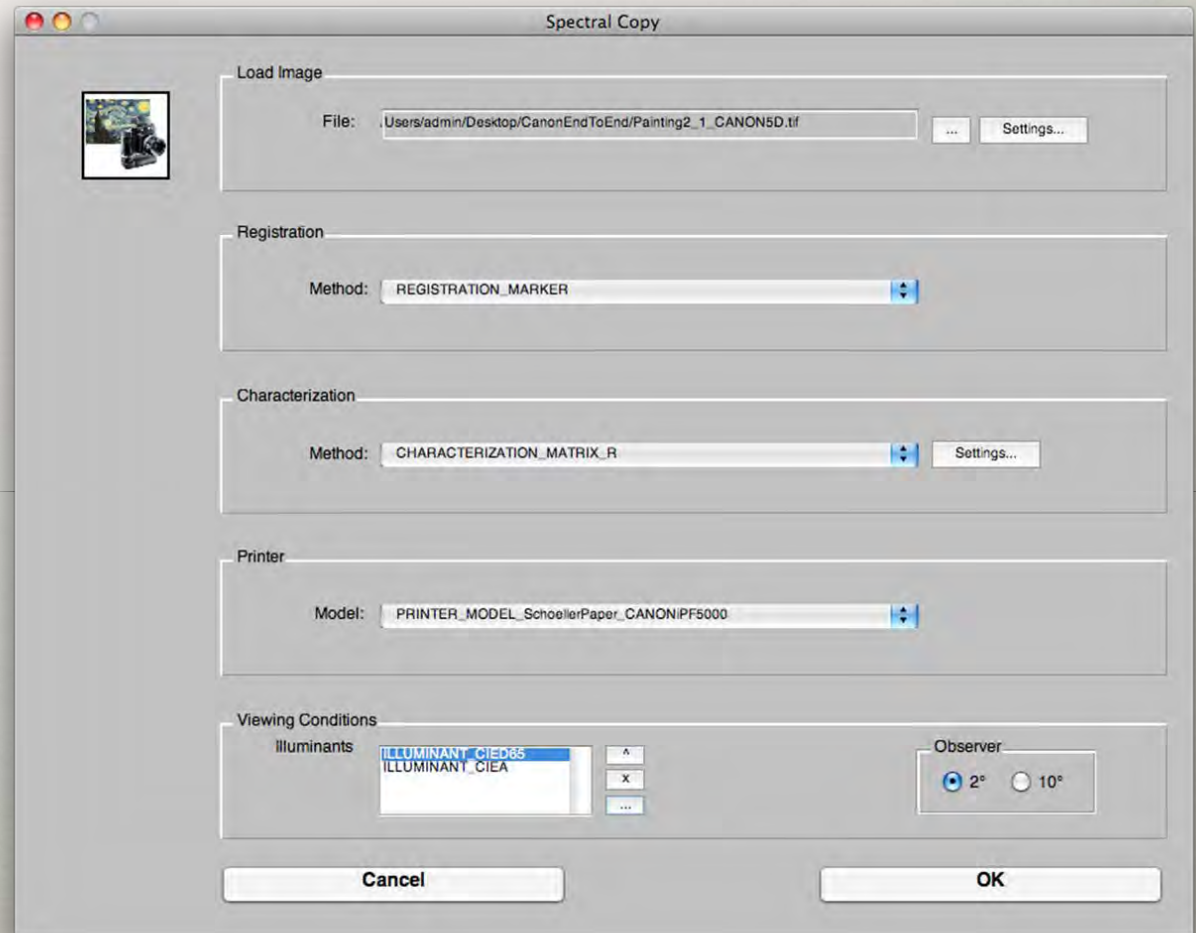


# Automatic Marker-based Image Registration



# Spectral End-to-End Reproduction Software

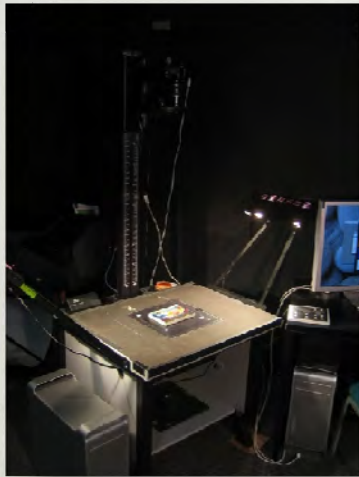
- Simple Matlab-based user interface
- Allows non-expert users to perform all steps for spectral based capture, processing, and printer separation



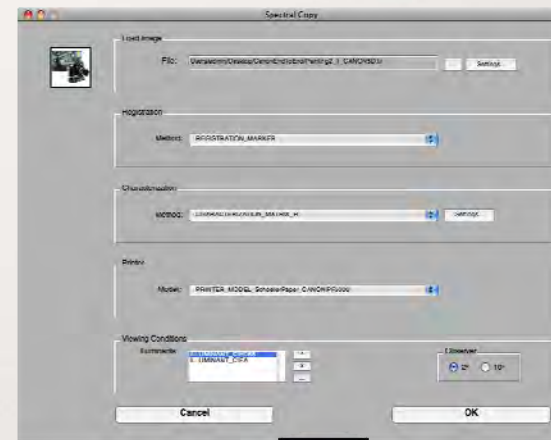


# Spectral End-to-End Reproduction workflow

Modified Canon 5D



End-To-End Software



Canon iPF5000 12-Ink Printer



Onyx ProductionHouse RIP

# Spectral End-to-End Reproduction workflow

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MOMA Image  
Removed



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MOMA Image  
Removed





# Spectral End-to-End Reproduction workflow

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MOMA Image  
Removed

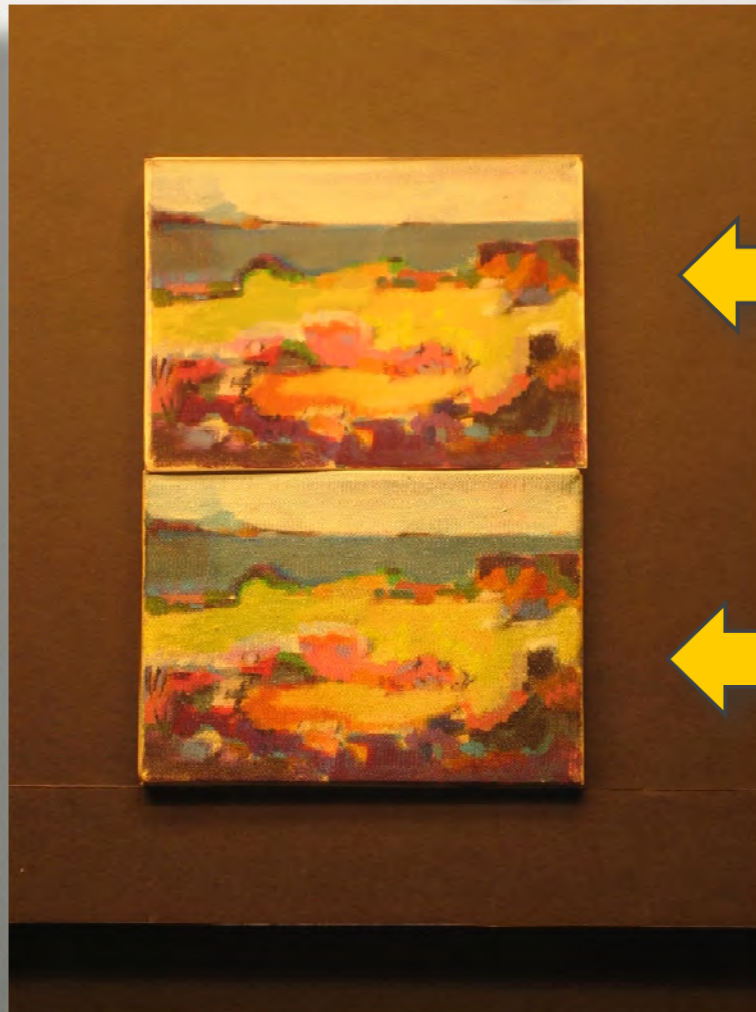


# Artwork Reproduction Results

Daylight



Tungsten



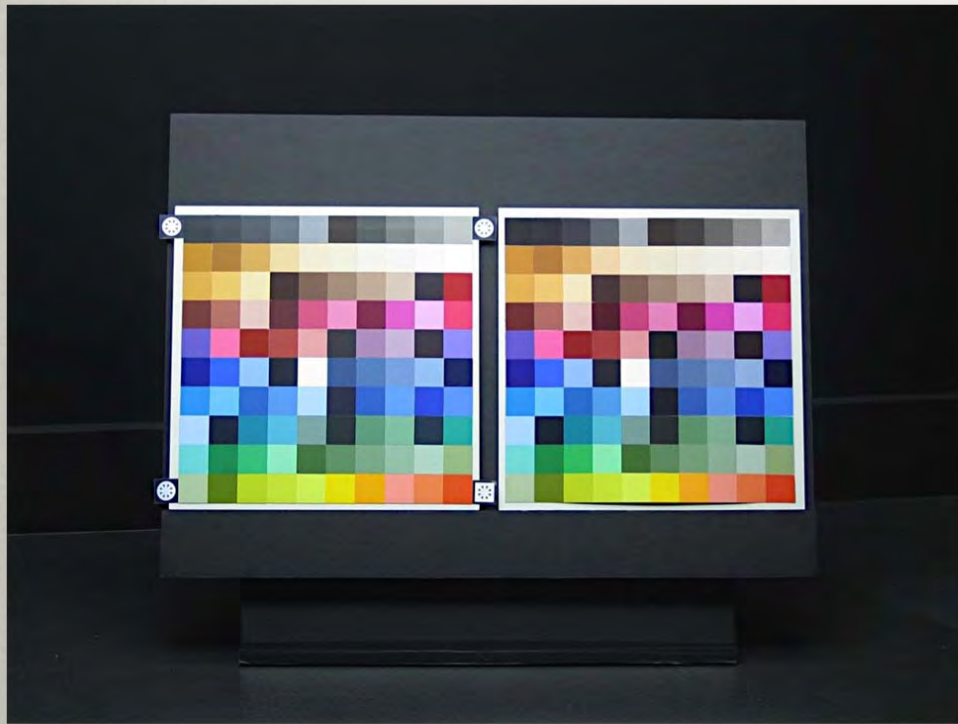
Print



Original

# (Training) Target Reproduction Results

Daylight



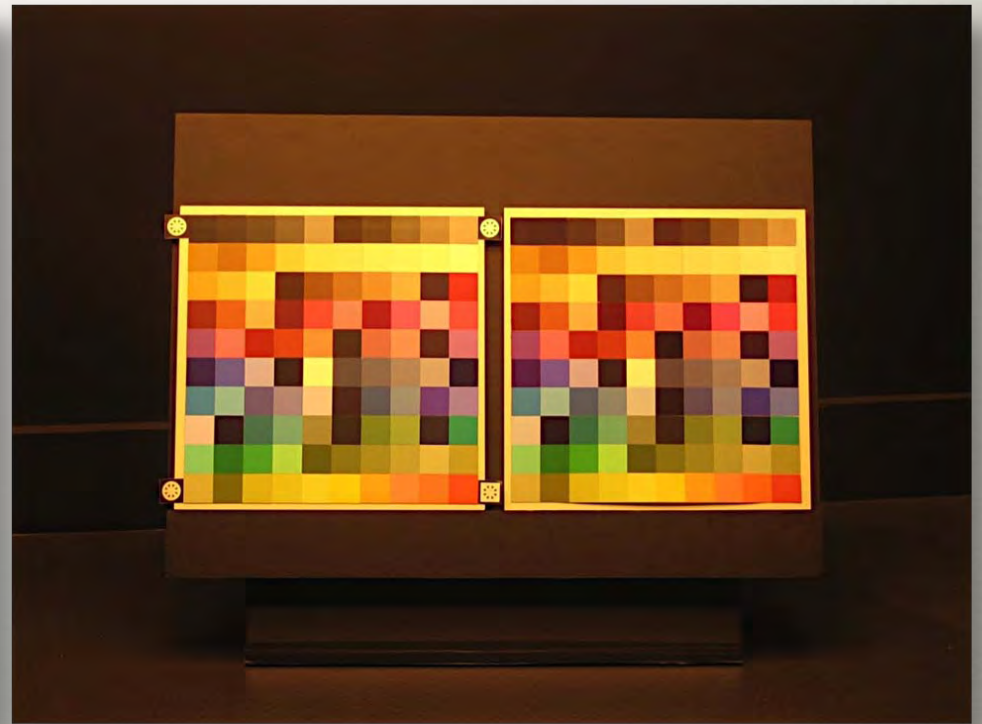
Colorimetric Error:

Mean: 1.7

Std: 0.8

Max: 4.1

Tungsten



Colorimetric Error:

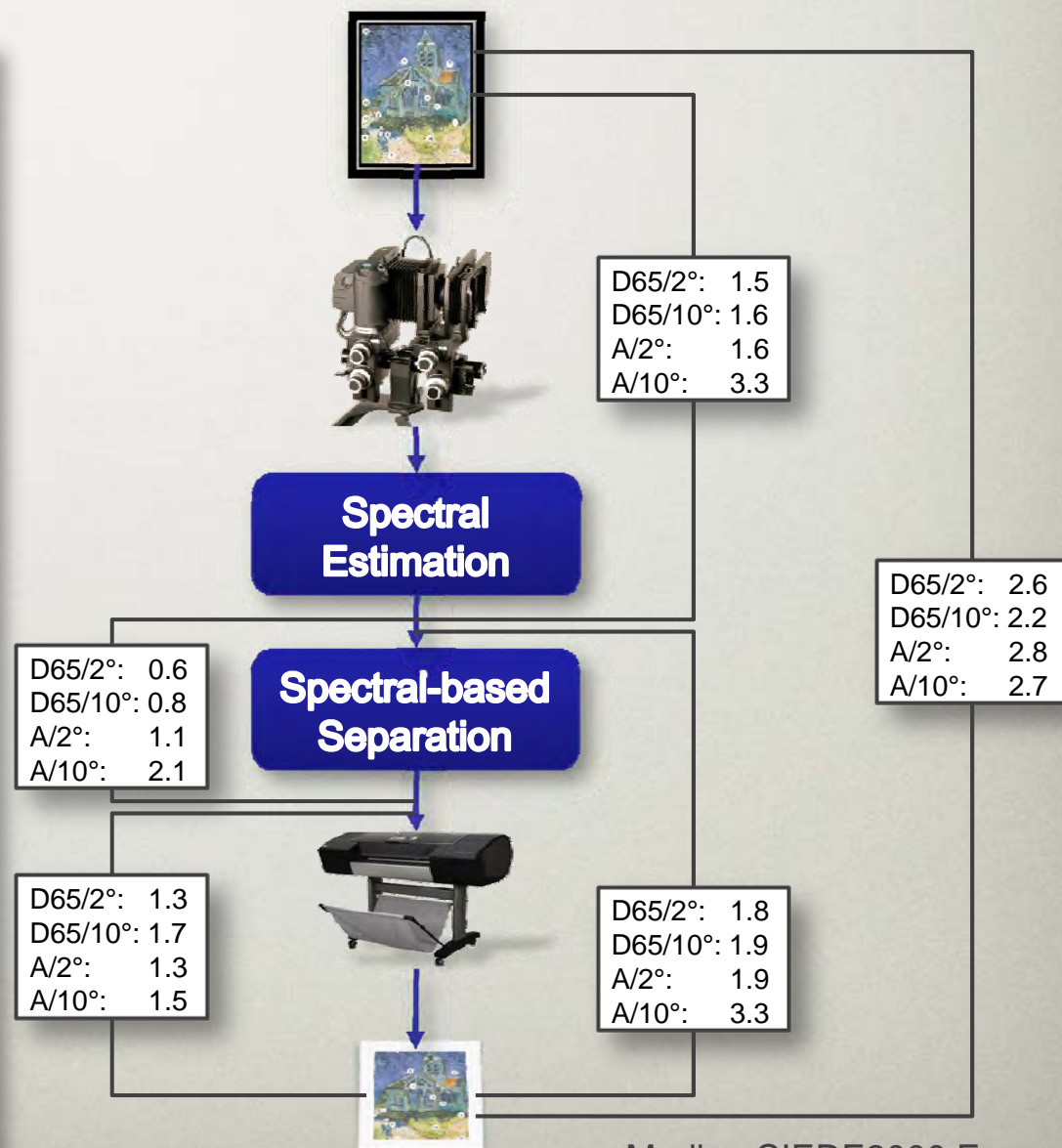
Mean: 2.2

Std: 1.3

Max: 5.9



# Artwork Reproduction Results



Median CIEDE2000 Errors

# Conclusion

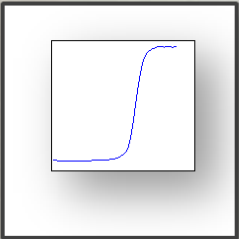


# Conclusion

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- Metameric Reproduction Systems have **systematic limitations** (device, illuminant and observer metamerism)
- Insufficient for special applications: (artwork reproduction, accurate proofing, industrial color communication)



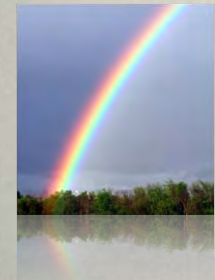
The solution of these problems is a spectral reproduction workflow:

- More channels throughout the whole reproduction chain
- New algorithms are necessary for characterization, separation and gamut mapping



Prototype developed at the Munsell Color Science Laboratory

- Utilized only slightly modified commercial devices
- Multiple-illuminant match



Many modules of the spectral reproduction system are still an active research field

- Improvements can be expected in future (new devices, methods and software)



# Acknowledgments

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Special thanks to

RIP Software



Printer + Ink + Paper



Paper



The work is supported by

